Deep Learning in CT Optimization

Marc Kachelrieß

German Cancer Research Center (DKFZ) Heidelberg, Germany www.dkfz.de/ct



Fully Connected Neural Network

- Each layer fully connects to previous layer
- Difficult to train (many parameters in *W* and *b*)
- Spatial relations not necessarily preserved



 $\boldsymbol{y}(\boldsymbol{x}) = \boldsymbol{f}(\boldsymbol{W}\cdot\boldsymbol{x}+\boldsymbol{b})$ with $\boldsymbol{f}(\boldsymbol{x}) = (f(x_1), f(x_2), \ldots)$ point-wise scalar, e.g. $f(x) = x \vee 0 = \text{ReLU}$

Convolutional Neural Network (CNN)

- Replace dense W in $y(x) = f(W \cdot x + b)$ by a sparse matrix W with sparsity being of convolutional type.
- CNNs consist (mainly) of convolutional layers.
- Convolutional layers are not fully connected.
- Convolutional layers are connected by small, say 3×3, convolution kernels whose entries need to be found by training.
- CNNs preserve spatial relations to some extent.





¹O. Ronneberger, P. Fischer, and T. Brox. U-net: Convolutional networks for biomedical image segmentation. Proc. MICCAI:234-241, 2015.



Generative Adversarial Network¹ (GAN)

• Useful, if no direct ground truth (GT) is available, the training data are unpaired, unsupervised learning



¹Goodfellow et al. 2014



Generative Adversarial Network (GAN)

Typical loss function and minimax game:

 $\min_{G} \max_{D} L(D,G) := \mathcal{E}_x \ln \left(1 - D(G(x))\right) + \mathcal{E}_y \ln D(y)$

Conditional GAN¹

- Conditinal GANs sample the generator input *x* not from a uniform distribution but from a conditional distribution, e.g. noisy CT images.
- Need some measure to ensure similarity to input distribution (e.g. pixelwise loss added to the minimax loss function)

Cycle GAN²

- Two GANs (X \rightarrow Y and Y \rightarrow X)
- Demand cyclic consistency, i.e. $x = G_{\chi}(G_{\chi}(x))$ and $y = G_{\chi}(G_{\chi}(x))$





Outline

- 1. Making up data
- 2. Noise removal
- 3. Replacement of lenghty computations
- 4. Image reconstruction





Making up Data



Limited Angle Example



Image Prediction for Limited-Angle Tomography via Deep Learning with Convolutional Neural Network. Hanming Zhang, Liang Li, Kai Qiao, Linyuan Wang, Bin Yan, Lei Li, Guoen Hu. arXiv 2016.



MAR Example

 Deep CNN-driven patch-based combination of the advantages of several MAR methods trained on simulated artifacts



- followed by segmentation into tissue classes
- followed by forward projection of the CNN prior and replacement of metal areas of the original sinogram
- followed by reconstruction





MAR without Machine Learning is a Good Alternative: Frequency Split Normalized MAR^{1,2}

Uncorrected

FSLIMAR

FSNMAR



Patient with bilateral hip prosthesis, Somatom Definition Flash, (C=40/W=500).

¹E. Meyer, M. Kachelrieß. Normalized metal artifact reduction (NMAR) in computed tomography. Med. Phys. 37(10):5482-5493, Oct. 2010. ²E. Meyer, M. Kachelrieß. Frequency split metal artifact reduction (FSMAR) in CT. Med. Phys. 39(4):1904-1916, April 2012.



Resolution Improvement Example

- 2D U-net to converts 5 mm thick images into 1 mm ones.
- E.g. to "replace a scanning protocol for a 1 mm slice with a 5 mm protocol" 5 mm image RL deconv. U-net 1 mm GT



Junyoung Park, Donghwi Hwang, Kyeong Yun Kim, Seung Kwan Kang, Yu Kyeong Kim and Jae Sung Lee. Computed tomography super-resolution using deep convolutional neural network. Phys. Med. Biol. 63: 145011, 2018



Sparse View Restoration Example









Sparse CT Recon with Data Consistency Layers (DCLs)



A. Kofler, M. Haltmeier, C. Kolbitsch, M. Kachelrieß, and M. Dewey. A U-Nets Cascade for Sparse View Computed Tomography, MICCAI 2018





Noise Removal



 3-layer CNN uses low dose and corresponding normal dose image patches for training



KSVD

BM3D



Hu Chen, Yi Zhan, Weihua Zhang, Peixi Liao, Ke Li, Jiliu Zhou, and Ge Wang. Low-dose CT via convolutional neural network. Biomedical Optics Express 8(2):278381. February 2017.



- Task: Reduce noise from low dose CT images.
- A conditional generative adversarial networks (GAN) is used
- Generator G:
 - 3D CNN that operates on small cardiac CT sub volumes
 - Seven 3×3×3 convolutional layers yielding a receptive field of 15×15×15 voxels for each destination voxel
 - Depths (features) from 32 to 128
 - Batch norm only in the hidden layers
 - Subtracting skip connection
- Discriminator D:
 - Sees either routine dose image or a generator-denoised low dose image
 - Two 3×3×3 layers followed by several 3×3 layers with varying strides
 - Feedback from *D* prevents smoothing.
- Training:
 - Unenhanced (why?) patient data acquired with Philips Briliance iCT 256 at 120 kV.
 - Two scans (why?) per patient, one with 0.2 mSv and one with 0.9 mSv effective dose.



- G₁ and G₂ include supervised learning and thus perform only with phantom measurements.
- G₃ is unsupervised.
- G_3 is said to generate images with a more similar appearance to the routine-dose CT. Feedback from the discriminator *D* prevents smoothing the image.







Low dose image (0.2 mSv)





iDose level 3 reconstruction (0.2 mSv)





Denoised low dose image (0.2 mSv)





Normal dose image (0.9 mSv)





- Architecture based on state-of-the art networks for image classification (ResNet).
- 32 conv layers with skip connections
- About 2 million tunable parameters in total
- Input is arbitrarily-size stack of images, with a fixed number of adjacent slices in the channel/feature dimension.









Low dose images (1/4 of full dose)







Denoised low dose







Full dose







Denoised full dose







• ECG-based TCM yields cardiac phases with high noise.



- Train a cycle GAN that learns from the low noise phases to remove noise in the high noise phases.
- 50 patient cases used for training.
- Nice results!



E. Kang, J.C. Ye et al. Cycle-consistent adversarial denoising network for multiphase coronary CT angiography. Med. Phys. 46(2), February 2019.





Noise Removal Example 6 Canon's AiCE



Information taken from https://global.medical.canon/products/computed-tomography/aice_dlr



U = 100 kV CTDI = 0.6 mGy DLP = 24.7 mGy⋅cm D_{eff} = 0.35 mSv





AIDR3De FC52 (image-based iterative)





AiCE Lung (deep learning)

Courtesy of Radboudumc, the Netherlands
Part 3:

Replacement of Lengthy Computations



Empirical Shading Correction: ScatterNet

- Net to convert CBCT log (why?) rawdata into artifact-free data.
- Net architecture:
 - Small receptive field spectrum converter block adapts the attenuation values.
 - Residual U-Net then follows to account for scatter.
- Pixel-wise loss function comparing the corrected CBCT projections with those of the reference shading correction method.
- Reference shading correction method:
 - Use data from a clinical CT scan as an artifact-free prior.
 - Intensity domain frequency split between planning CT and CBCT:
 - » Deformably register planning CT onto CBCT and forward project and exponentiate to obtain "ideal" intensity data
 - » Scale CBCT intensities to match the prior CT intensities
 - » Corrected intensities = LP(forward proj. CT)+HP(scaled uncorr. CBCT)
- ScatterNet replaces the previous correction method and thus speeds up computation and does not make use of the planning CT.





D. Hansen, K. Parodi et al. ScatterNet: A convolutional neural network for cone-beam CT intensity correction, MedPhys, Sep. 2018.



Scatter (or Shading) Correction by Frequency Split (FS)



Similar method has been proposed by Niu et al. Med. Phys 37(10), pp. 5395 ff.



Scatter is Non-Smooth in Log and in Image Domain









Scatter is Smooth only in Intensity Domain!





Scatter is Smooth only in Intensity Domain!





Deep Scatter Estimation



Motivation

- X-ray scatter is a major cause of image quality degradation in CT and CBCT.
- Appropriate scatter correction is crucial to maintain the diagnostic value of the CT examination.



Scatter Correction

Scatter suppression

- Anti-scatter grids
- Collimators
- •

Scatter estimation

- Monte Carlo simulation
- Kernel-based approaches
- Boltzmann transport
- Primary modulation
- Beam blockers





Kernel-Based Scatter Estimation



Complete scatter distribution



Kernel-Based Scatter Estimation

Estimate needle beam scatter kernels as a function of the projection data p



Estimate mean scatter kernel that maps a function of the projection data p to scatter distribution

$$I_{\rm s, \ est}(\boldsymbol{u}) = \int T(p)(\boldsymbol{u}')G(\boldsymbol{u}, \boldsymbol{u}', \boldsymbol{c})d\boldsymbol{u}'$$







Monte Carlo Scatter Estimation

- Simulation of photon trajectories according to physical interaction probabilities.
- 1 to 10 hours per tomographic data set Simulating a large number ries well approximat **Uniplete scatter**

distribution



Deep Scatter Estimation (DSE)





Deep Scatter Estimation Network architecture & scatter estimation framework



Training the DSE Network







DSE trained to estimate scatter from **primary plus scatter**: High accuracy



Reconstructions of Simulated Data



C = 0 HU, *W* = 1000 HU



Testing of the DSE Network for Measured Data (120 kV)

DKFZ table-top CT





- Measurement of a head phantom at our in-house table-top CT.
- Slit scan measurement serves as ground truth.





Reconstructions of Measured Data



C = 0 HU, W = 1000 HU



Standard reconstruction







- off-focal radiation artifacts
- focal spot blurring artifacts presented a wes 2016
- detector blurring artifacts
- scatter artifacts

Simulation-based artifact correction



J. Maier, M. Kachelrieß et al. Simulation-based artifact correction (SBAC) for metrological computed tomography. Meas. Sci. Technol. 28(6):065011, May 2017.

Simulation Study: Training Data

- Simulation of 16416 projections using different objects and parameter settings to train the DSE network.
- Training on a GeForce GTX 1080 for 80 epochs using the Keras framework, an Adam optimizer and a mini-batch size of 16.



J. Maier, M. Kachelrieß et al. Robustness of DSE. Med. Phys. 46(1):238-249, January 2019.

Simulation Study: Testing Data

 Simulation of a tomography (720 projection / 360°) of five components using acquisition parameters that differ from the ones used to generate the training data set.



Test Performance for Different Inputs





Results

Scatter estimates for simulated testing data

Model	Primary intensity	Scatter ground truth (GT)	Kernel - GT / GT	Hybrid - GT / GT	DSE - GT / GT	
E ma		0	13%	7%	1%	
Line for the second sec			mean absolute percentage error over 3600	mean absolute percentage error over 3600	mean absolute percentage error over 3600	
e e e e e e e e e e e e e e e e e e e			projections	projections	projections	
fit sum	- \$ -			0		
	C = 0.5, W = 1.0	C = 0.015, W = 0.020	C = 0 %, W = 50 %	C = 0 %, W = 50 %	C = 0 %, W = 50 %	d



Results

CT reconstructions of scatter corrected testing data





Application to Measured Data



- Measurement at DKFZ table-top CT
- Tomography of aluminum profile (720 projections / 360°)
- 110 kV Hamamatsu micro-focus xray tube
- Varian flat detector

	Training	Testing	
Components			
Detector elements	768×768	768×768	
Source-detector distance	580 mm	580 mm	
Source-isocenter distance	100 mm, 110 mm, 120 mm	110 mm	
Tilt angle	0°, 30°, 60°, 90°	0°	
Tube voltage	100 kV, 110 kV, 120 kV	110 kV	
Copper prefilter	1.0 mm, 2.0 mm	2.0 mm	
Scaling	1.0	-	
Number of projections	8208	720	

Results Performance of DSE for measured data

Projection data



CT reconstructions



A simple detruncation was applied to the rawdata before reconstruction. Images were clipped to the FOM before display. C = -200 HU, W = 1000 HU. **Truncated DSE** FOM $40 \times 40 \text{ cm}^2$ flat detector **Ground truth** Uncorrected **MC-corrected** DSE FOM $40 \times 40 \text{ cm}^2$ flat detector To learn why MC fails at truncated data and what significant efforts are necessary to cope with that situation see [Kachelrieß et al. Effect of detruncation on the accuracy of MC-based scatter estimation in truncated CBCT. Med. Phys. 45(8):3574-3590, August 2018].

J. Maier, M. Kachelrieß et al. Deep scatter estimation (DSE) for truncated cone-beam CT (CBCT). RSNA 2018.



Does DSE Generalize to Different Anatomical Regions?

Simulation parameters:

- 7 head and 14 thorax/abdomen clinical CT data sets
- Apply affine transforms to obtain 28 volumes for each region
- Regions: head, thorax and abdomen
- Tube Voltage: 120 kV, 140 kV.
- Prior volumes: 28 head phantoms
- Simulate 45 projections over 360° for each volume and voltage
- Number of z-Positions: 1 for head, 4 for thorax and abdomen
- Data augmentation for head: vertical & horizontal flipping
- Total number of projections: 2 × 28 × 45 × 2 × 2 = 10080



Neural Network & Training

- DSE was implemented using the U-net architecture shown below
- The training was performed on an NVIDIA Quadro P6000 for 80 epochs using an Adam optimizer and a batch size of 16.





KSE	Head	Thorax	Abdomen
Head	14.5	26.8	32.5
Thorax	16.2	18.5	19.4
Abdomen	16.8	22.1	17.8
All data	14.9	20.5	19.3

DSE	Head	Thorax	Abdomen
Head	1.2	21.1	32.7
Thorax	8.8	1.5	9.1
Abdomen	11.9	10.9	1.3
All data	1.8	1.4	1.4

Values shown are the mean absolute percentage errors (MAPEs) of the testing data. Note that thorax and head suffer from truncation due to the small size of the 40×30 cm flat detector.



Results

	Testing			
	Head	Thorax	Abdomen	
Training				
KSE				
Head	14.5	26.8	32.5	
Thorax	16.2	18.5	19.4	
Abdomen	16.8	22.1	17.8	
All data	14.9	20.5	19.3	
HSE (Truncated p	rior, 22 cm FOM)			
	6.2	293.2	237.6	
HSE (Truncated p	rior, shifted detecto	r, 40 cm FOM)		
		22.9	26.5	
DSE, $M_{\rm ep}: e^{-p_{\rm sim}}$	$\longrightarrow S_{\mathrm{MC}}$			
Head	3.9	17.6	23.5	
Thorax	12.2	2.5	11.6	
Abdomen	27.1	13.2	2.3	
All data	4.7	2.5	2.4	
DSE, $M_{\rm p}: p_{\rm sim}$ —	$\rightarrow S_{\mathrm{MC}}$			
Head	1.3	14.9	15.2	
Thorax	6.7	1.6	7.7	
Abdomen	15.7	12.1	1.5	
All data	1.7	1.6	1.6	
DSE, $M_{\rm pep}: p_{\rm sim} \cdot e^{-p_{\rm sim}} \longrightarrow S_{\rm MC}$				
Head	1.2	21.1	32.7	
Thorax	8.8	1.5	9.1	
Abdomen	11.9	10.9	1.3	
All data	1.8	1.4	1.4	

Mean absolute percentage error of the kernel-based scatter estimation (KSE), the hybrid scatter estimation (HSE) and the deep scatter estimation (DSE) with respect to the ground truth scatter distribution (MC simulation). Training data were generated simulating head, thorax and abdomen data at 120 kV, 140 kV. The training was performed for head, thorax and abdomen data separately as well as using all data together (left column). DSE was trained for three different mappings $(M_{\rm ep} : e^{-p_{\rm sim}} \rightarrow S_{\rm MC},$ $M_{\rm p}: p_{\rm sim} \rightarrow S_{\rm MC}, M_{\rm pep}: p \cdot$ $e^{-p_{\rm sim}} \rightarrow S_{\rm MC}$). Note that there are no training data for the HSE as it is optimized on a coarse MC simulation of the testing data.

Values shown are the mean absolute percentage errors (MAPEs) of the testing data. Note that thorax and head suffer from truncation due to the small size of the 40×30 cm flat detector.







C = 0 HU *W* = 700 HU
	Ground truth	No correction	KSE	HSE	DSE	
Head, 140 kV, 22 cm FOM						
Thorax, 140 kV, 22 cm FOM						
Thorax, 140 kV, 40 cm FOM (shifted detector)						
Abdomen, 140 kV, 22 cm FOM						
Abdomen, 140 kV, 40 cm FOM (shifted detector)						С = 0 HU W = 700 HU

Conclusions on DSE

- DSE needs about 20 ms per projection. It is a fast and accurate alternative to Monte Carlo (MC) simulations.
- DSE outperforms kernel-based approaches in terms of accuracy and speed.

Interesting observations

- DSE can estimate scatter from a single (!) x-ray image.
- DSE can accurately estimate scatter from a primary+scatter image.
- DSE cannot accurately estimate scatter from a primary only image.
- DSE may thus outperform MC even though DSE is trained with MC.
- DSE is not restricted to reproducing MC scatter estimates.
- DSE can rather be trained with any other scatter estimate, including those based on measurements.



Estimation of Dose Distributions

Useful to study dose reduction techniques

- Tube current modulation
- Prefiltration and shaped filtration
- Tube voltage settings

. . . .

Useful to estimate patient dose

- Risk assessment requires segmentation of the organs (difficult)
- Often semiantropomorphic patient models take over
- The infamous k-factors that convert DLP into D_{eff} are derived this way, e.g. $k_{chest} = 0.014 \text{ mSv/mGy/cm}$
- Could be useful for patient-specific CT scan protocol optimization
- However: Dose estimation does not work in real time!



Deep Dose Estimation (DDE)

- Train a UNet to predict patient dose given a CT image and a photo effect dose image
- Training data
 - 15 CT patient data sets segmented into air, fat, soft tissue, and bone
 - Simulate projection data by forward projection (120 kV, 720 projections, circle scans at 20 different z-positions to equally cover pelvis, abdomen, thorax and head).
 - Simulate scans without bowtie, with botwie, with bowtie and TCM
 - In total 15×20×3 = 900 data sets are reconstructed
 - Use Monte Carlo software RayConStruct-MC to calculate the patient dose distribution, thereby accounting for Rayleigh, Compton and photo effect.
 - Calculate photo effect dose distribution by direct backprojection and energy deposition in each voxel

Training

- U-Net sees the CT volumes and the corresponding first order (photoeffect) dose volumes and is trained to predict the patient dose distribution.
- Since bone is underrepresented in all of the data sets, bone voxels received a twenty-fold weight in our MSE-based pixel-wise loss function



J. Maier, E. Eulig, S. Dorn, S. Sawall, and M. Kachelrieß. Real-time patient-specific CT dose estimation using a deep convolutional neural network. Proc. IEEE MIC 2018.

U-Net



J. Maier, E. Eulig, S. Dorn, S. Sawall, and M. Kachelrieß. Real-time patient-specific CT dose estimation using a deep convolutional neural network. Proc. IEEE MIC 2018.



Deep Dose Estimation (DDE)



J. Maier, E. Eulig, S. Dorn, S. Sawall, and M. Kachelrieß. Real-time patient-specific CT dose estimation using a deep convolutional neural network. Proc. IEEE MIC 2018.



Conclusions on DDE

- As shown, DDE works well with 360° circle scans.
- What is not shown in this presentation is that DDE can be trained to provide accurate dose predictions
 - for sequence scans
 - for partial scans (less than 360°)
 - for spiral scans
 - for different tube voltages
 - for scans with and without bowtie filtration
 - for scans with tube current modulation
- In practice it may therefore be not necessary to perform separate training runs for these cases.
- Thus, accurate real-time patient dose estimation may become feasible with DDE.





Image Reconstruction



Often "Just" Image Restoration

- Speeding up iterative reconstruction by training a CNN to convert an FBP image into an iterative image
 - Canon's AiCE algorithm

.....

- GE's True Fidelity algorithm
- plus a few more algorithms proposed in the literature
- Noise reduction by training, e.g. a mapping from low dose to high dose images
 - many examples in the literature, some in this presentation
- Artifact reduction in image domain
 - many examples in the literature, one shown in this presentation



Sometimes "Real" Image Reconstruction

- Networks employing data consistency layers
- Networks including backprojection layers
- Learning of backprojectors
- End-to-end training from sinogram to image
- Unrolled iterative reconstruction with learned priors

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Sparse CT Recon with Data Consistency Layers (DCLs)



A. Kofler, M. Haltmeier, C. Kolbitsch, M. Kachelrieß, and M. Dewey. A U-Nets Cascade for Sparse View Computed Tomography, MICCAI 2018



Variational Network-Based Image Reconstruction

 $C(f) = \|R \cdot f - p\|_{W}^{2} + R(f)$ **Highly simplified** example. Varnets work for a much $\nabla C(f) = \mathbf{R}^{\mathrm{T}} \cdot \mathbf{W} \cdot (\mathbf{R} \cdot f - p) + \nabla R(f)$ wider class of cost functions whose NNbased minimization is $\mathbf{f}^{(t+1)} = \mathbf{f}^{(t)} - \lambda \nabla C(\mathbf{f}^{(t)})$ motivated by the primal dual approach. UT $\nabla f_{\{TCR,SCT\}}^T(u_{T-1})$ $\nabla f^1_{\{TCR,SCT\}}(u_t$ (a) Variational Network (VN) structure for CT u_{t-1} u_t u_t $K_{c(t)}^*$ $K_{c(t)}^*$ $K_{c(t)}$ $K_{c(t)}$ $A^{\top}(A \cdot -d)$ $-u_0$ $-\lambda_{c(t)}$ (b) VU for CT denoising (c) VU for CT reconstruction

E. Kobler, R. Otazo et al. Variational network learning for low-dose CT. Proc. 5th CT-Meeting:430-434, 2018.





Conclusions on Deep CT

- Machine learning will play a significant role in CT optimization.
- High potential for
 - Artifact correction
 - Noise and dose reduction
 - Real-time dose assessment (also for RT)
 - ...
- Care has to be taken
 - Underdetermined acquisition, e.g. sparse view or limited angle CT, require the net to make up information!
 - Nice looking images do not necessarily represent the ground truth.

dkf7

 Data consistency layers may ensure that the information that is made up is consistent with the measured data.

Which DSA is Real and Which is Fake?



Thank You!



Conference Chair: Marc Kachelrieß, German Cancer Research Center (DKFZ), Heidelberg, Germany

This presentation will soon be available at www.dkfz.de/ct. Job opportunities through DKFZ's international Fellowship programs (marc.kachelriess@dkfz.de). Parts of the reconstruction software were provided by RayConStruct[®] GmbH, Nürnberg, Germany.