



# Deep Monte Carlo

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# Content

- Coarse CNN overview
- Deep scatter estimation

# Coarse CNN Overview

# Nomenclature

- Iteration = Epoch
- Batch = Subset (randomly changing for each epoch)
- Loss function = Cost function
- Learning rate =  $\eta$

# Fully Connected Network

- Each layer fully connects to previous layer
- Difficult to train (many parameters)
- Spatial relations not necessarily preserved

Input

e.g. 680×465×3 pixels

e.g.



Hidden

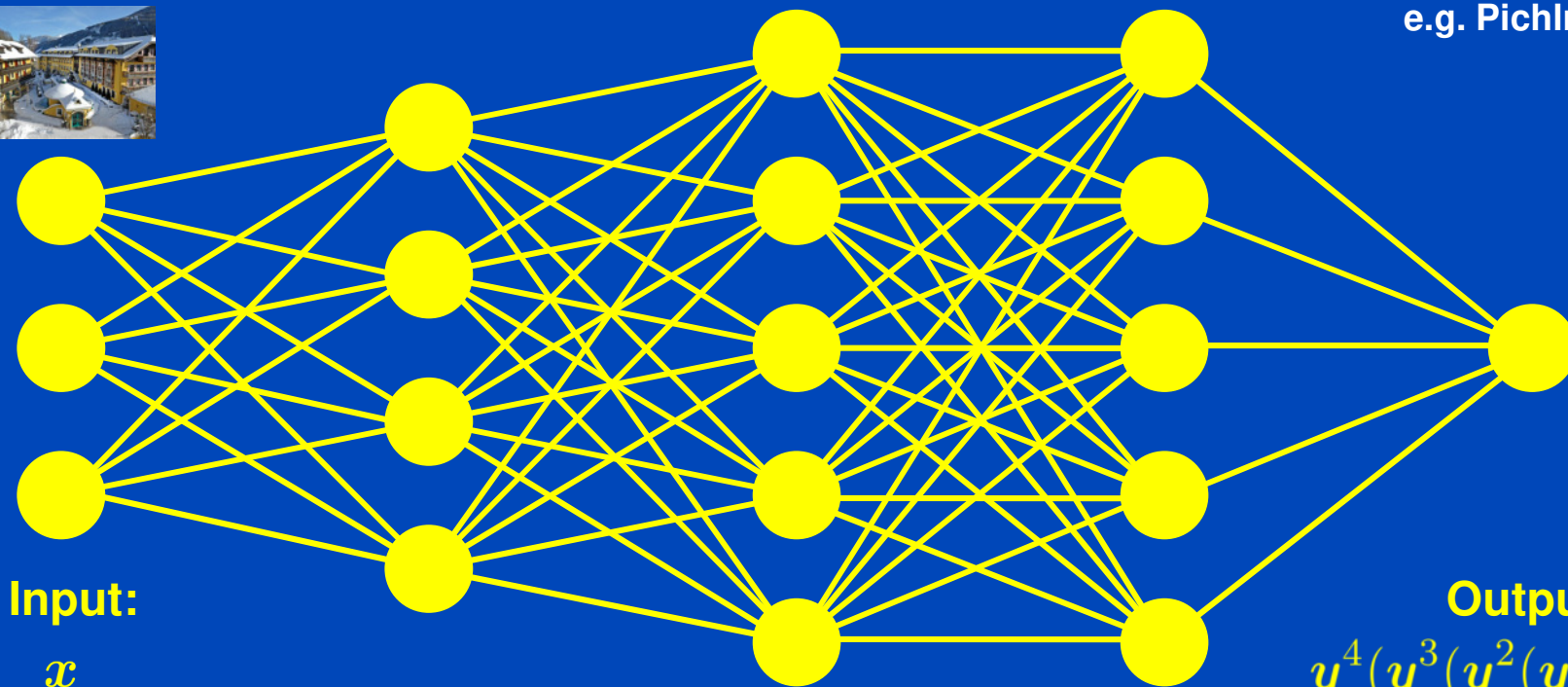
Hidden

Hidden

Output

e.g. 1 label

e.g. Pichlmayrgut



Input:

$x$

Output:

$y^4(y^3(y^2(y^1(x))))$

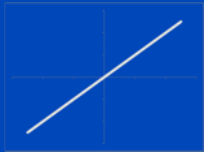
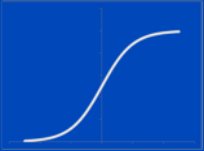


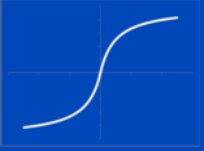
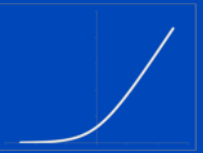
$$y(x) = f(W \cdot x + b)$$



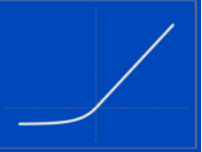
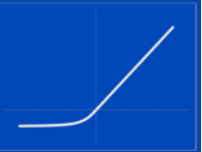
with

$$f(x) = (f(x_1), f(x_2), \dots)$$

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# Activation Functions

Function	Equation	Plot
Identity	$f(x) = x$	
Sigmoid	$f(x) = \frac{1}{1 + e^{-x}}$	
Hard sigmoid	$f(x) = \begin{cases} 0 & \text{for } x < -\alpha \\ \frac{\alpha+x}{2\alpha} & \text{for } -\alpha \leq x < \alpha \\ 1 & \text{for } x \geq \alpha \end{cases}$	
Tanh	$f(x) = \frac{2}{1 + e^{-2x}} - 1$	
Softsign	$f(x) = \frac{x}{1 +  x }$	
Softplus	$f(x) = \log(1 + \exp x)$	

Function	Equation	Plot
ReLU	$f(x) = \begin{cases} 0 & \text{for } x < 0 \\ x & \text{for } x \geq 0 \end{cases}$	
Leaky ReLU	$f(x) = \begin{cases} \alpha x & \text{for } x < 0 \\ x & \text{for } x \geq 0 \end{cases}$	
ELU	$f(x) = \begin{cases} \alpha(e^x - 1) & \text{for } x < 0 \\ x & \text{for } x \geq 0 \end{cases}$	
Inverse square root LU	$f(x) = \begin{cases} \frac{x}{\sqrt{1+\alpha x^2}} & \text{for } x < 0 \\ x & \text{for } x \geq 0 \end{cases}$	
...	...	...

# Loss Function

- The neural networks parameters (weights)  $w$  are chosen by minimizing a loss function (cost function)

$$w = \arg \min_w \sum_{n=1}^N L(x_n, y_n, w)$$

with  $x_n$  being the training data input and  $y_n$  being the training data output and  $N$  being the number of training samples.

- An example for the loss function is

$$L(x_n, y_n, w) = (y(x_n, w) - y_n)^2$$

# Gradient Descent

- Walk along the direction of the negative gradient
- Steepest descent
- Learning rate  $\eta$

$$w^{\text{new}} = w^{\text{old}} - \eta \nabla_w L(x_n, y_n, w)$$

- Easy to understand, but not optimal
- Methods in use
  - Batch gradient descent
  - Stochastic gradient descent
  - Mini-batch gradient descent
  - Conjugate gradient descent
  - Quasi Newton methods
  - Momentum methods
  - ...



# Convolutional Layers

- Convolution in spatial domain
- Full connectivity in depth
- Filter size = receptive field
- Learns filter kernels
- Less parameters than fully connected net
- Respects properties of many imaging systems

# Convolution

- Input layer  $S$ 
  - vector of size  $I$  with  $F$  features:  $I \times F$
  - image of size  $I$  by  $J$  with  $F$  features:  $I \times J \times F$
  - volume of size  $I$  by  $J$  by  $K$  with  $F$  features:  $I \times J \times K \times F$
  - ...
- Convolution kernel  $K$ 
  - $G$  kernels of size  $(2A+1) \times (2B+1) \times F$  with (e.g. zero) padding
- Output layer  $D$ 
  - same spatial dimensions as input layer
  - $G$  features (depth  $G$ )

Src  
 $64 \times 64 \times F$

Dst  
 $64 \times 64 \times G$



$$D_{i,j,g} = \sum_f S_{i,j,f} * K_{i,j,f}^g = \sum_{a,b,f} S_{i-a,j-b,f} K_{a,b,f}^g$$

Attention: No convolution in depth direction!

# Pooling

- **Input layer  $S$** 
  - image of size  $I$  by  $J$  with  $F$  features:  $I \times J \times F$
  - ...
- **Pooling kernel**
  - pooling function, e.g. max, mean, stochastic, ...
  - size and strides
- **Output layer  $D$** 
  - reduced spatial size
  - same depth

1	1	1	3	2	3	1	2
2	3	0	3	1	9	6	9
1	8	0	4	0	8	9	9
1	1	2	3	9	2	3	1
0	5	1	3	2	1	1	3
1	1	1	1	0	0	1	1
2	5	0	7	1	9	7	9
2	0	0	8	2	4	0	1

2x2 stride 2x2  
max pool

3	3	9	9
8	4	9	9
5	3	2	3
5	8	9	9

Src  
64x64xF

Dst  
32x32xF

2x2 with  
stride 2

$$D_{i,j,f} = \max_{b,d} S_{ai+b,cj+d,f}$$

# Unpooling

- Input layer  $S$ 
  - image of size  $I$  by  $J$  with  $F$  features:  $I \times J \times F$
  - ...
- Unpooling kernel
  - pooling function, e.g. max, mean, stochastic, ...
  - size and strides
- Output layer  $D$ 
  - increased spatial size
  - same depth

0	0	0	3	0	0	0	0
0	3	0	3	0	9	0	9
0	8	0	4	0	0	9	9
0	0	0	0	9	0	0	0
0	5	0	3	2	0	0	3
0	0	0	0	0	0	0	0
0	5	0	0	0	9	0	9
0	0	0	8	0	0	0	0

2x2 stride 2x2  
max unpool

3	3	9	9
8	4	9	9
5	3	2	3
5	8	9	9

Src  
32x32xF

Dst  
64x64xF

2x2 with  
stride 2

Max values at max positions that were originally found during pooling. Zeroes at non-max positions.

# Unpooling

## Upsampling

- Input layer  $S$ 
  - image of size  $I$  by  $J$  with  $F$  features:  $I \times J \times F$
  - ...
- Unpooling kernel
  - pooling function, e.g. max, mean, stochastic, ...
  - size and strides
- Output layer  $D$ 
  - increased spatial size
  - same depth

3	3	3	3	9	9	9	9
3	3	3	3	9	9	9	9
8	8	4	4	9	9	9	9
8	8	4	4	9	9	9	9
5	5	3	3	2	2	3	3
5	5	3	3	2	2	3	3
5	5	8	8	9	9	9	9
5	5	8	8	9	9	9	9

2x2 stride 2x2  
max unpool

3	3	9	9
8	4	9	9
5	3	2	3
5	8	9	9

Src  
32x32xF

Dst  
64x64xF

2x2 with  
stride 2

Max values at all positions.

# Dilated Convolutions

- **Convolution**

$$D_{i,j,g} = \sum_f S_{i,j,f} * K_{i,j,f}^g = \sum_{a,b,f} S_{i-a,j-b,f} K_{a,b,f}^g$$

- **8-dilated convolution**

$$D_{i,j,g} = \sum_f S_{i,j,f} *_8 K_{i,j,f}^g = \sum_{a,b,f} S_{i-8a,j-8b,f} K_{a,b,f}^g$$

- **Dilation helps to increase the receptive field of the kernel without increasing the number of unknowns in the kernel.**
- **Similar effect as pooling followed by convolution.**

# Deconvolution

- Transpose of the convolution
- Deconvolution layer is a very unfortunate name and should rather be called a transposed convolutional layer.
- Uses the weights of the adjunct convolution

$$D_{i,j,g} = \sum_f S_{i,j,f} * K_{i,j,f}^g = \sum_{a,b,f} S_{i-a,j-b,f} K_{a,b,f}^g$$

Convolution

Deconvolution

Src  
64×64×G

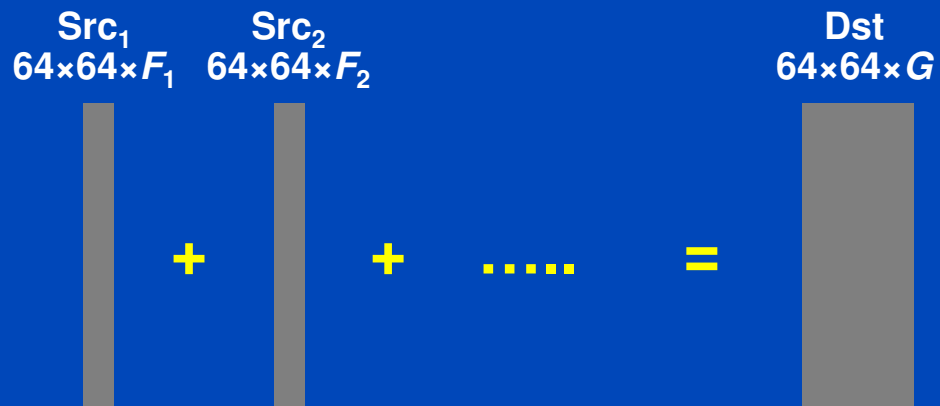
Dst  
64×64×F

F times  
3×3×G

$$S_{i,j,f} = \sum_{a,b,g} D_{i+a,j+b,g} K_{a,b,f}^g$$

# Depth Concatenation

- **$N$  input layers  $S_n$** 
  - vector of size  $I$  with  $F_n$  features:  $I \times F_n$
  - image of size  $I$  by  $J$  with  $F_n$  features:  $I \times J \times F_n$
  - volume of size  $I$  by  $J$  by  $K$  with  $F_n$  features:  $I \times J \times K \times F_n$
  - ...
- **Output layer  $D$** 
  - same spatial dimensions as input layer
  - $G = F_1 + F_2 + \dots + F_N$  features

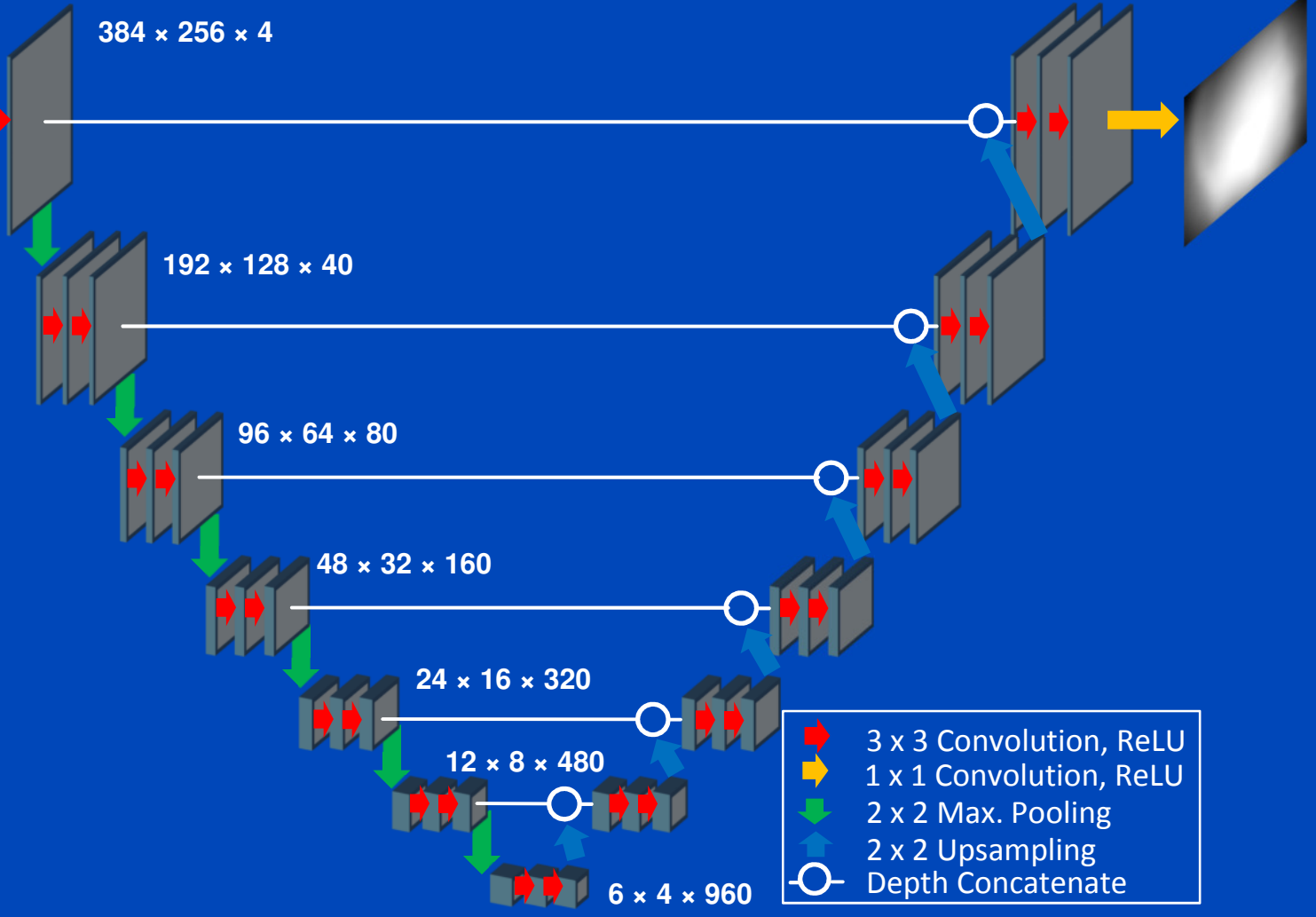


$$G = \sum_n F_n$$



# U-Net

Input:



Output:



# Toy Example

Nested 1D functions  $f_n(c_n, x)$  with unknown coefficients  $c_n$

loss function  $L(c_3, c_2, c_1, x) = (f_3(c_3, f_2(c_2, f_1(c_1, x))) - y)^2$

intermediate values

1  $L_3 = \frac{dL}{df_3}$

3  $L_2 = \frac{dL}{df_2} = \frac{dL}{df_3} \frac{df_3}{df_2} = L_3 \frac{df_3}{df_2}$

...

$L_n = \frac{dL}{df_n} = L_{n+1} \frac{df_{n+1}}{df_n}$

**Backpropagation**

desired gradients

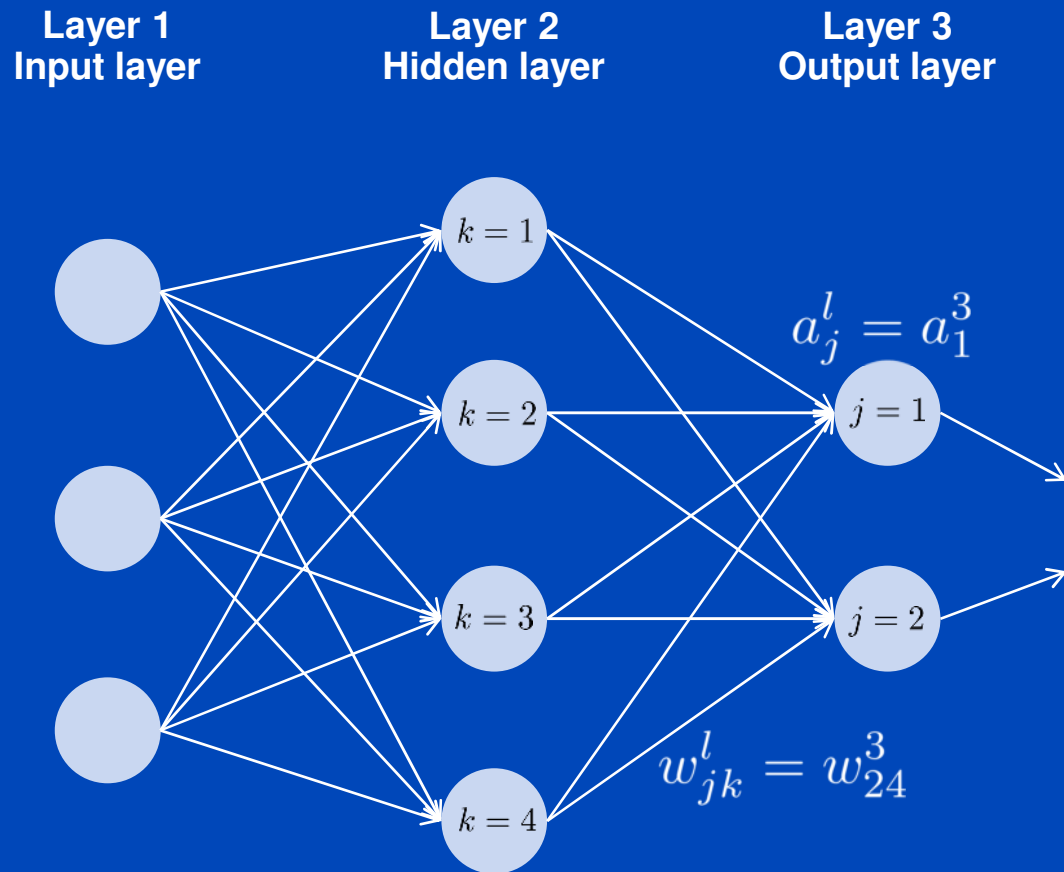
2  $\frac{dL}{dc_3} = \frac{dL}{df_3} \frac{df_3}{dc_3} = L_3 \frac{df_3}{dc_3}$

4  $\frac{dL}{dc_2} = \frac{dL}{df_3} \frac{df_3}{df_2} \frac{df_2}{dc_2} = L_2 \frac{df_2}{dc_2}$

...

$\frac{dL}{dc_n} = L_n \frac{df_n}{dc_n}$

# Neural Network – General Structure



$l$  = layer index  
 $j$  = neuron index in  $l^{\text{th}}$  layer  
 $k$  = neuron index in  $(l - 1)^{\text{th}}$  layer  
 $\sigma$  = activation function  
 $w_{jk}^l$  = weight from  $k^{\text{th}}$  neuron  
in layer  $(l - 1)$  to  $j^{\text{th}}$  neuron  
in layer  $l$   
 $b_j^l$  = bias of the  $j^{\text{th}}$  neuron  
in the  $l^{\text{th}}$  layer  
 $a_j^l$  = activation of the  $j^{\text{th}}$  neuron  
in the  $l^{\text{th}}$  layer

$$= \sigma \left( \sum_k w_{jk}^l a_k^{l-1} + b_j^l \right)$$

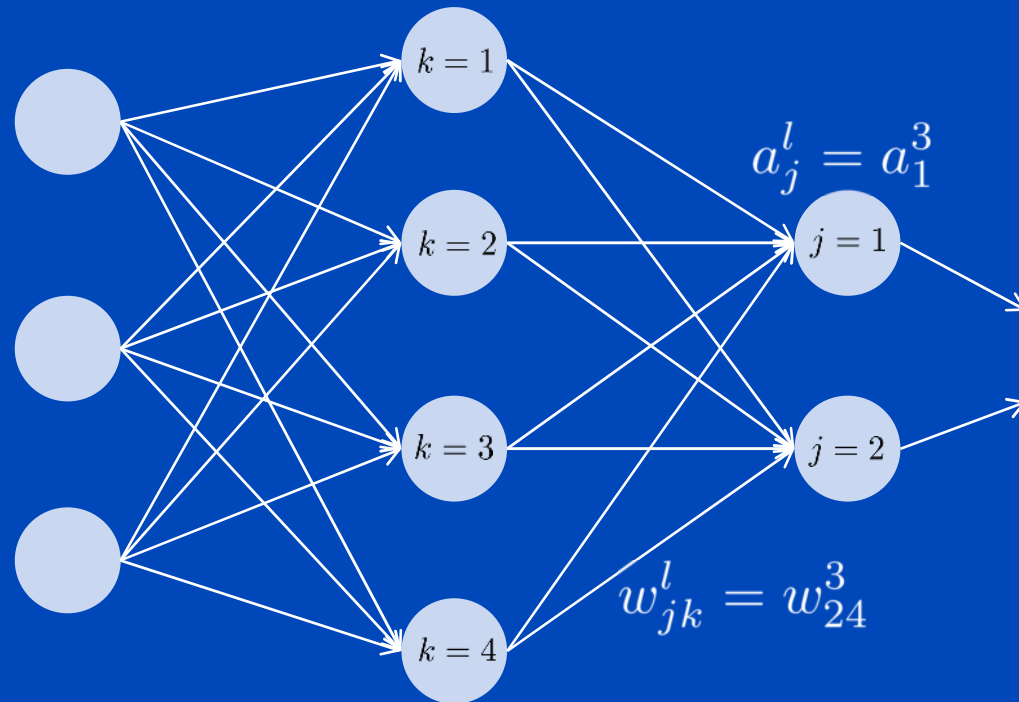
# Neural Network – General Structure

## Matrix notation

Layer 1  
Input layer

Layer 2  
Hidden layer

Layer 3  
Output layer



$a^l$  =activation vector of layer  $l$   
 $w^l$  =weight matrix from layer  $(l - 1)$  to  $l$   
 $b^l$  =bias vector of layer  $l$

$$a^l = \sigma (w^l a^{l-1} + b^l)$$

Example: activation of the 3rd layer

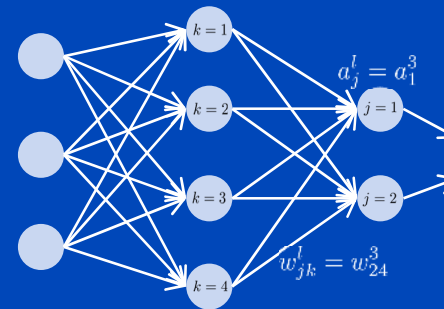
$$\begin{bmatrix} a_1^3 \\ a_2^3 \end{bmatrix} = \sigma \left( \begin{bmatrix} w_{11}^3 & w_{12}^3 & w_{13}^3 & w_{14}^3 \\ w_{21}^3 & w_{22}^3 & w_{23}^3 & w_{24}^3 \end{bmatrix} \cdot \begin{bmatrix} a_1^2 \\ a_2^2 \\ a_3^2 \\ a_4^2 \end{bmatrix} + \begin{bmatrix} b_1^2 \\ b_2^2 \end{bmatrix} \right)$$

# Optimization of Weights and Biases

- The weights and biases can be optimized using a gradient descent approach:

$$w_{jk}^{l'} = w_{jk}^l - \eta \frac{\partial C}{\partial w_{jk}^l}$$

$$b_j^{l'} = b_j^l - \eta \frac{\partial C}{\partial b_j^l}$$

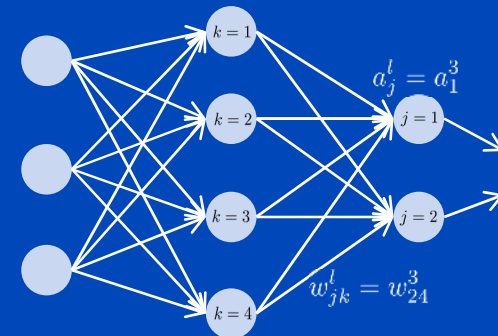


# Optimization of Weights and Biases

## Backpropagation

- Backpropagation is an efficient way to calculate the gradient of the weights and biases.
- Let us define the error  $\delta_j^l$  of neuron  $j$  in layer  $l$ :

$$\delta_j^l \equiv \frac{\partial C}{\partial z_j^l}; \quad z_j^l = \sum_k w_{jk}^l a_k^{l-1} + b_j^l$$



- The error of neurons in the last layer is given as:

$$\delta_j^L = \frac{\partial C}{\partial a_j^L} \sigma'(z_j^L)$$

**Proof:** 
$$\delta_j^L = \frac{\partial C}{\partial z_j^L} = \frac{\partial C}{\partial a_j^L} \frac{\partial a_j^L}{\partial z_j^L} \stackrel{a_j^L = \sigma(z_j^L)}{=} \frac{\partial C}{\partial a_j^L} \sigma'(z_j^L)$$

- **Vector notation:**  $\delta^L = \nabla_a C \odot \sigma'(z^L); \quad \odot = \text{Hadamard product}$

# Optimization of Weights and Biases

## Backpropagation

- Given the error  $\delta^{l+1}$  in layer  $l+1$ , the error of the  $j^{\text{th}}$  neuron of the  $l^{\text{th}}$  layer is calculated as follows:

$$\delta_j^l = \sum_k w_{kj}^{l+1} \delta_k^{l+1} \sigma'(z_j^l)$$

**Proof:**

$$\delta_j^l = \frac{\partial C}{\partial z_j^l} = \sum_k \frac{\partial C}{\partial z_k^{l+1}} \frac{\partial z_k^{l+1}}{\partial z_j^l} = \sum_k \delta_k^{l+1} \frac{\partial z_k^{l+1}}{\partial z_j^l}$$

$$z_j^{l+1} = \sum_j w_{kj}^{l+1} a_j^l + b_k^{l+1} \stackrel{a_j^l = \sigma(z_j^l)}{=} \sum_j w_{kj}^{l+1} \sigma(z_j^l) + b_k^{l+1}$$

$$\rightarrow \frac{\partial z_k^{l+1}}{\partial z_j^l} = w_{kj}^{l+1} \sigma'(z_j^l)$$

$$\rightarrow \delta_j^l = \sum_k w_{kj}^{l+1} \delta_k^{l+1} \sigma'(z_j^l)$$

- Vector notation:**  $\delta^l = ((w^{l+1})^T \delta^{l+1}) \odot \sigma'(z^l)$

# Optimization of Weights and Biases

## Backpropagation

- The partial derivative of the cost function with respect to the weights is given by:

$$\frac{\partial C}{\partial w_{jk}^l} = a_k^{l-1} \delta_j^l$$

**Proof:**

$$\frac{\partial C}{\partial w_{jk}^l} = \frac{\partial C}{\partial z_k^l} \frac{\partial z_k^l}{\partial w_{jk}^l} = a_k^{l-1} \delta_j^l$$

- The partial derivative of the cost function with respect to the bias is given by:

$$\frac{\partial C}{\partial b_j^l} = \delta_j^l$$

**Proof:**

$$\frac{\partial C}{\partial b_j^l} = \frac{\partial C}{\partial z_k^l} \frac{\partial z_k^l}{\partial b_j^l} = \delta_j^l$$



# The Backpropagation Algorithm

1. **Input  $x_n$** : Set the corresponding activation  $a^1$  for the input layer.

2. **Feedforward**: For each layer  $l = 2, \dots, L$  compute:

$$z^l = w^l a^{l-1} + b^l \text{ and } a^l = \sigma(z^l)$$

3. **Output error**: Compute the error vector of layer  $L$ :

$$\delta^L = \nabla_a C \odot \sigma'(z^L)$$

4. **Backpropagate error**: For each  $l = L-1, L-2, \dots, 2$ :

$$\delta^l = ((w^{l+1})^T \delta^{l+1}) \odot \sigma'(z^l)$$

5. **Output**: The gradient of the cost function is given by:

$$\frac{\partial C}{\partial w_{jk}^l} = a_k^{l-1} \delta_j^l \quad \frac{\partial C}{\partial b_j^l} = \delta_j^l$$

# Gradient Descent

**For each epoch:**

**Shuffle data**

**For each (mini-)batch  $B$  of size  $M$ :**

**For each sample  $x_n$  of the (mini-)batch:**

- i. **Set input activation:**  $a^{n,1} = x_n$
- ii. **Feedforward:** For each layer  $l = 2, 3, \dots, L$  compute:  
 $z^{n,l} = w^l a^{n,l-1} + b^l$  and  $a^{n,l} = \sigma(z^{n,l})$
- iii. **Output error  $\delta^{n,L}$ :** Compute the vector  
 $\delta^{n,L} = \nabla_a C_n \odot \sigma'(z^{n,L})$
- iv. **Backpropagate the error:** For each  $l = L-1, L-2, \dots, 2$  compute:  
 $\delta^{n,l} = ((w^{l+1})^T \delta^{n,l+1}) \odot \sigma'(z^{n,l})$

**Update weights and biases:**

$$w^{l'} = w^l - \frac{\eta}{M} \sum_{n \in B} \delta^{n,l} (a^{n,l-1})^T \quad b^{l'} = b^l - \frac{\eta}{M} \sum_{n \in B} \delta^{n,l}$$

$M = 1$  : Stochastic gradient descent  
 $1 < M < N$  : Mini-batch gradient descent  
 $M = N$  : Batch gradient descent

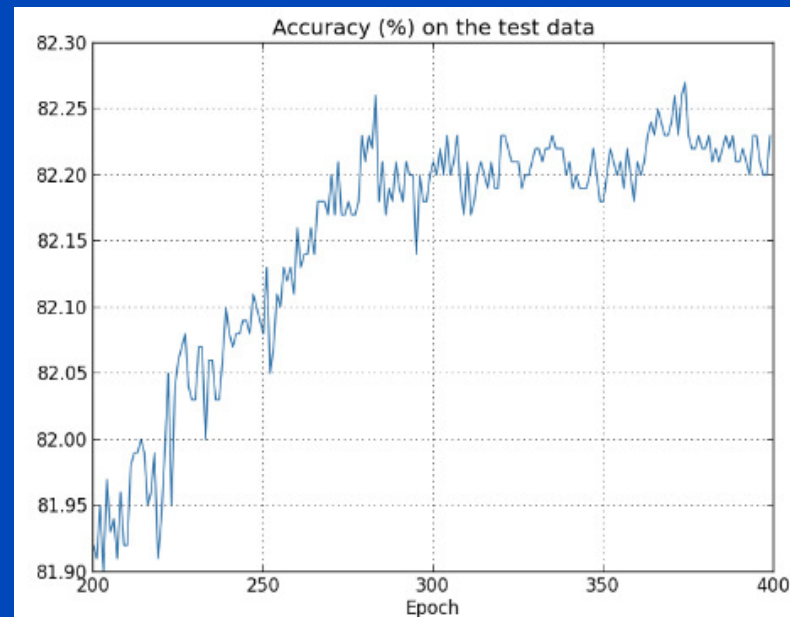
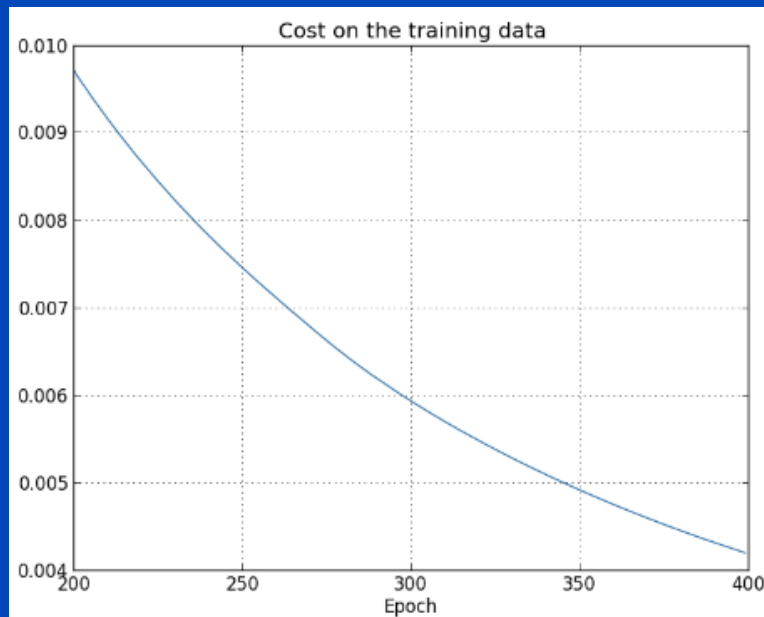
# Batch Normalization

## Batch normalization

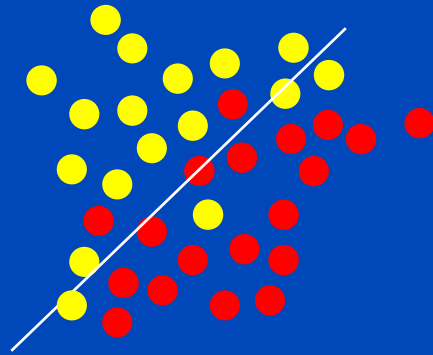
- normalizes each activation to have zero expectation and unit variance within the mini batch
- introduces trainable scale and offset for each activation (or for each feature map) to, potentially, denormalize again
- is part of the model architecture
- reduces the need for dropout
- reduces internal covariate shift and thus accelerates training
- fixes the means and variances of layer inputs
- improves gradient flow through the network
- allows for higher learning rates without the risk of divergence
- prevents the net from getting trapped in saturated modes
- makes it possible to use saturating nonlinearities

# Overfitting

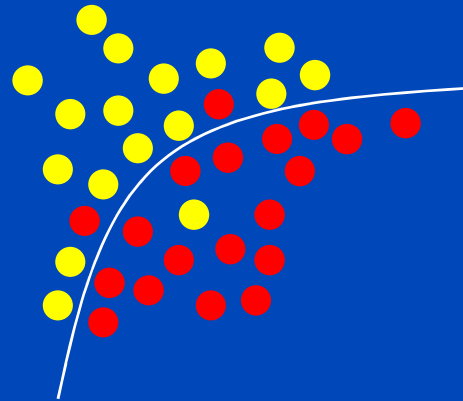
- Overfitting means, that the progress on training data no longer generalizes to test data.
- Overfitting can be prevented by using larger training sets or by applying regularization techniques.



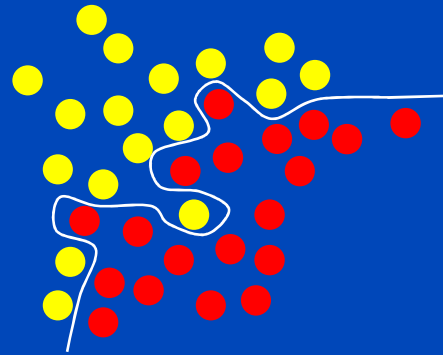
# Fitting



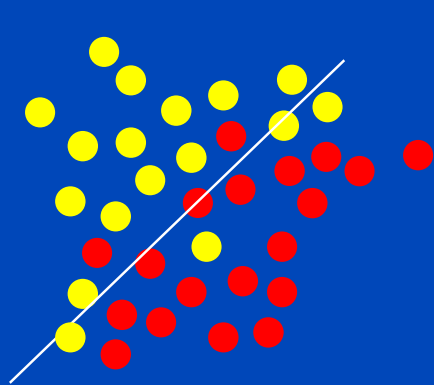
# Fitting



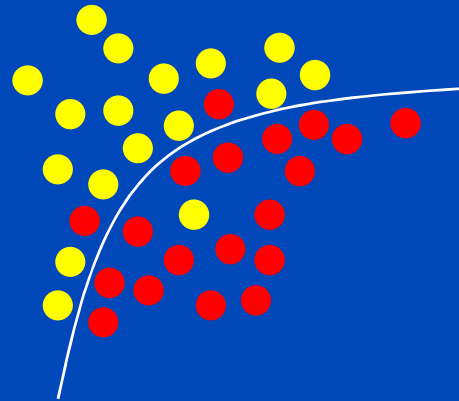
# Fitting



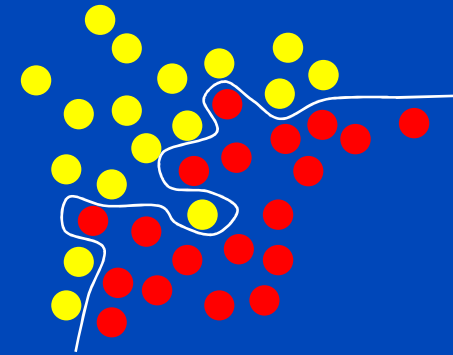
# Fitting



underfit



reasonable

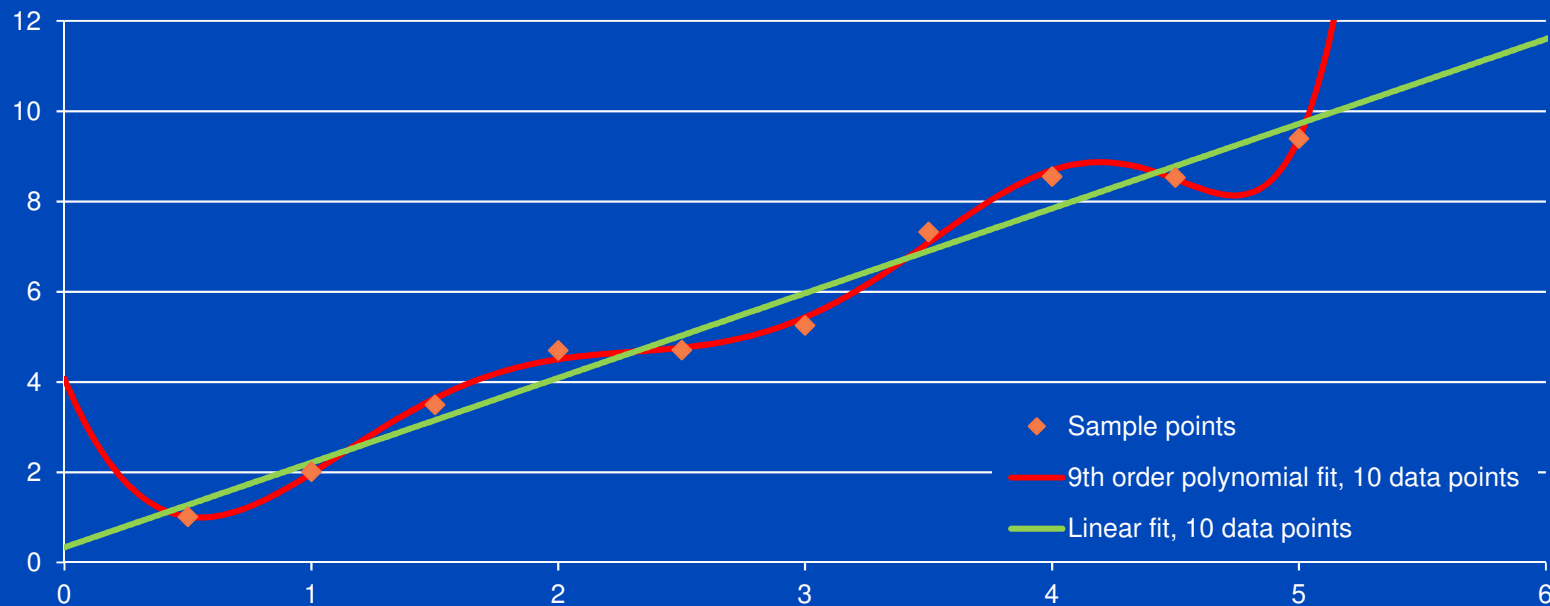


overfit



# Overfitting

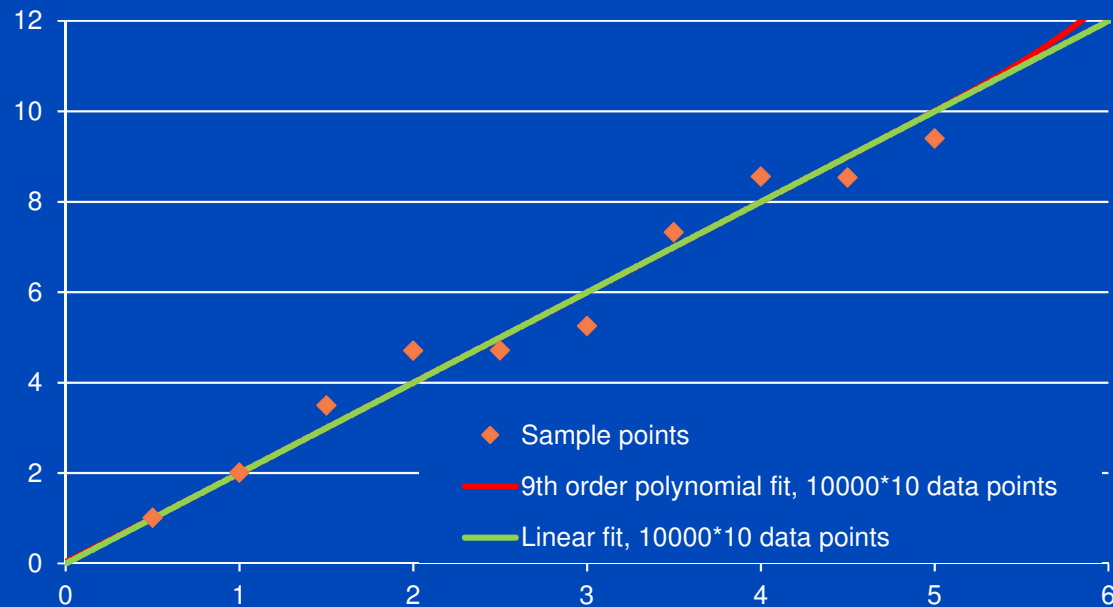
- Assume our training data results from sampling the function  $f(x) = 2x$  at a given number of points.
- Since the sampling might include some random noise, the samples slightly deviate from the function  $f(x) = 2x$ .
- A 9<sup>th</sup> order polynomial perfectly fits the training data, but fails to appropriately predict test data such as  $x = 0.25$  for instance.



# Regularization

## Increase of training data

- The increase of the amount of training data makes the network more robust against single deviations.
- The training data can also be increased artificially.
- Similar results can be observed if the polynomial is fitted to 100000 samples.

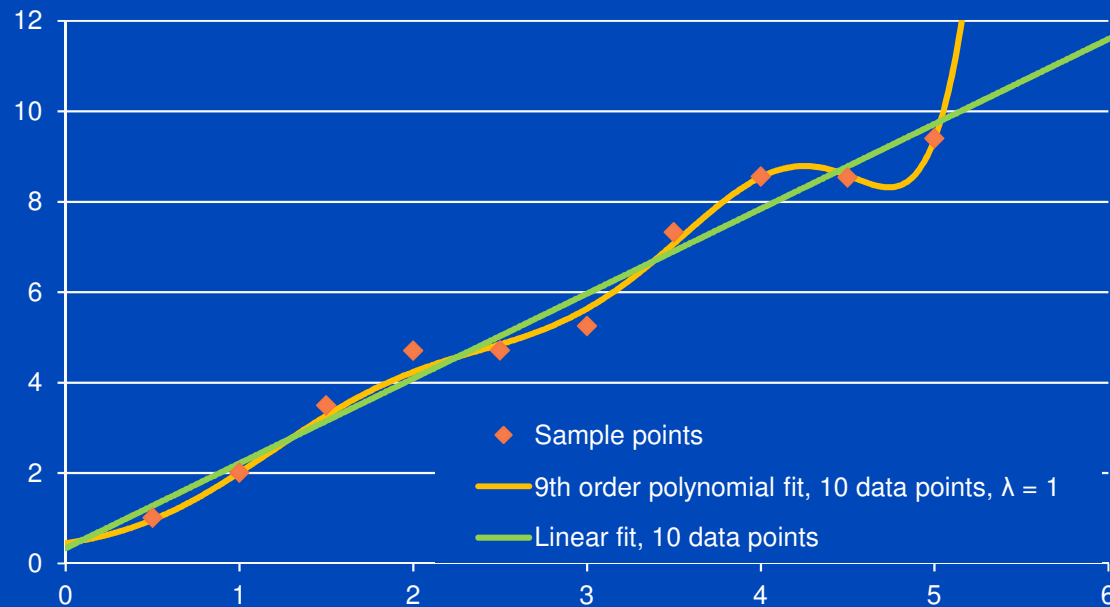


Coefficients	Linear	9th order
$C_0$	-0.00295	0.03343
$C_1$	2.000325	1.904762
$C_2$		0.079125
$C_3$		-0.02262
$C_4$		0.000435
$C_5$		-4.96E-05
$C_6$		0.000339
$C_7$		-4.25E-05
$C_8$		-9.19E-06
$C_9$		1.43E-06

# Regularization

## Penalizing large weights

- Modification of the cost function to penalize large weight (i.e. quadratic penalty):  $C = C_0 + \lambda \sum_w w^2$
- If a certain weight is large, the output strongly depends on the input of that weight.

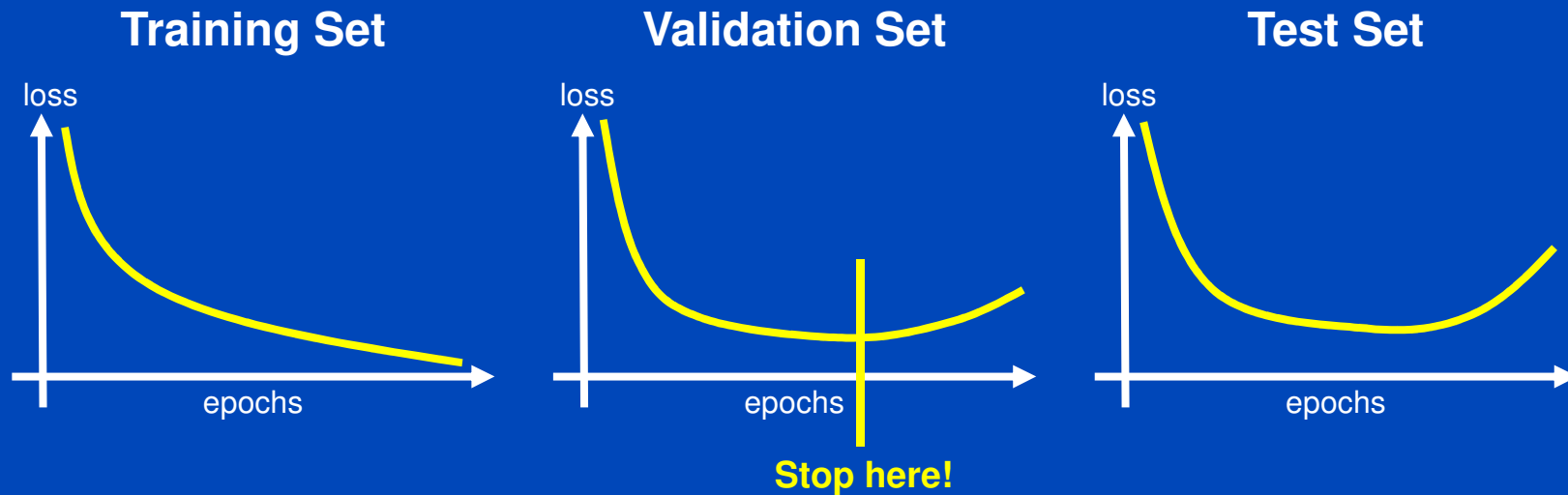


Coefficients	Linear	9th order
$C_0$	-0.00295	0.447558
$C_1$	2.000325	0.575279
$C_2$		0.665781
$C_3$		0.562606
$C_4$		0.049884
$C_5$		-0.45894
$C_6$		0.186099
$C_7$		-0.01496
$C_8$		-0.00342
$C_9$		0.000471

# Avoid Overfitting

- Choose adequate network architecture
- Preprocess data
  - Normalize data (mean, var, ...)
  - Add prior knowledge (e.g.  $\exp(-x)$ )
- Data augmentation
  - Random transformations (mirror, affine, deformable, ...)
  - Gray value distribution
  - Change spatial resolution
  - Add noise
  - ...
- Penalize loss function
  - Enforce small weights
  - Enforce sparse weights
  - ...

# Learning Curve



- Training and validation set are part of the training
- Do not use test set for training
- Early stopping (at minimum validation loss)
- Training : Validation : Test  $\approx$  70 : 20 : 10

# Weight Initialization

- Weights in neural networks should be initialized such that the neurons are not saturated (since saturation often decreases the learning rate).
- Assume we have a fully connected network with 1000 input neurons.
- Let us further assume that half of the input equals 1 and the other half equals 0.
- If the weights and the bias are initialized with Gaussian random numbers with zero mean and a standard deviation of 1, the weighted sum  $z = \sum w_j x_j + b$  to the first hidden neuron is zero mean Gaussian with standard deviation  $\sigma = \sqrt{501} \approx 22.4$ .
- Thus, it is very likely that  $z \gg 1$  or  $z \ll 1$ . Consequently, it is very likely that the neuron is saturated.
- Therefore, if we have  $n_{in}$  inputs, an initialization with Gaussian random numbers with zero mean and a standard deviation of  $1/\sqrt{n_{in}}$  would be a better choice.

# Libraries

## DL Libraries

Descending order based on GitHub stars

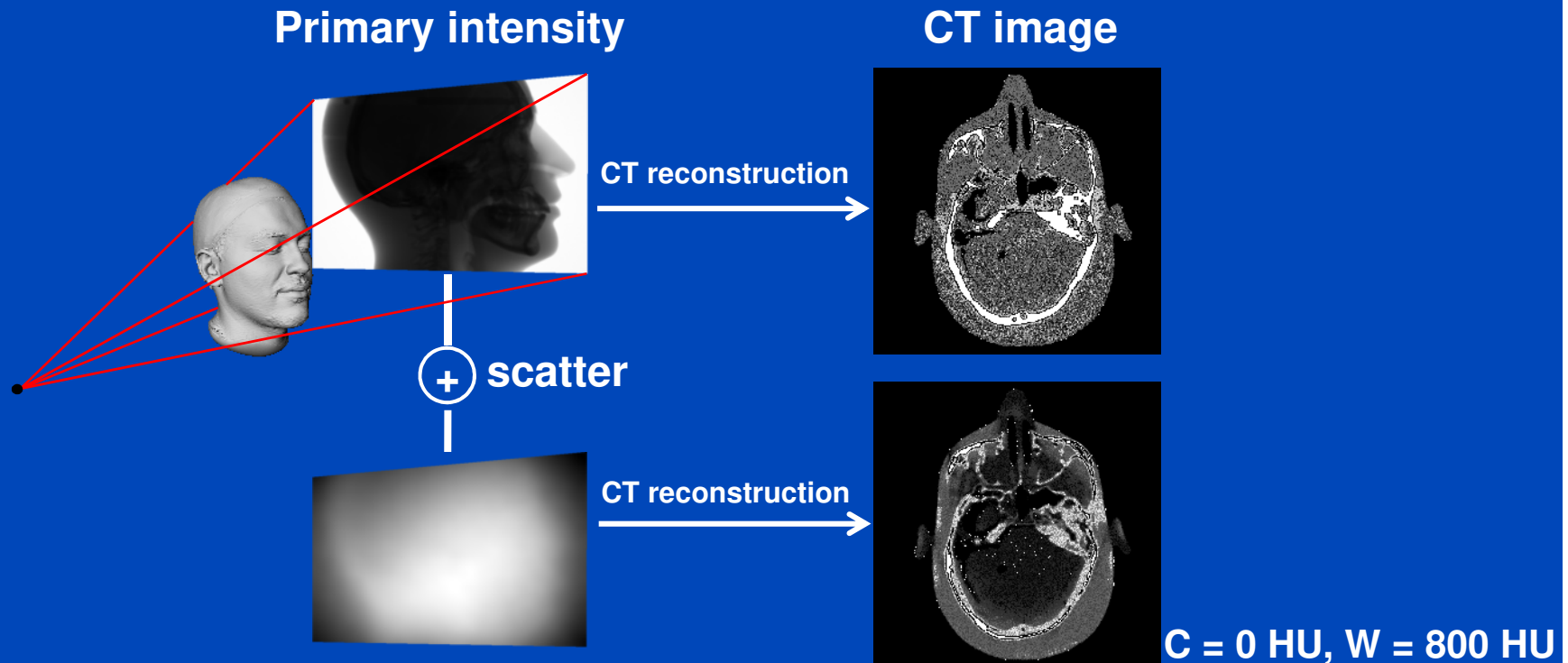
Framework	(Main) Author(s)	(Main) Language(s)
Tensorflow	Google	Python
Caffe	BVLC	C++
Keras	F. Chollet	Python
CNTK	Microsoft	C++
MXNet adapted	Amazon	C++
Torch	Collobert, Kavukcuoglu, Farabet (also: Facebook)	Lua
Convnetjs	A. Karpathy	JavaScript
Theano	Université de Montréal	Python
Deeplearning4j	startup Skyminid	Java
Paddle	Baidu	C++
DSSTNE	Amazon	C++
Neon	Nervana Systems	Python, Sass
Chainer		Python
h2o		Java
Brainstorm	IDSIA	Python
Matconvnet	A. Vedaldi	Matlab

# Deep Scatter Estimation



# Motivation

- X-ray scatter is a major cause of image quality degradation in CT and CBCT.
- Appropriate scatter correction is crucial to maintain the diagnostic value of the CT examination.



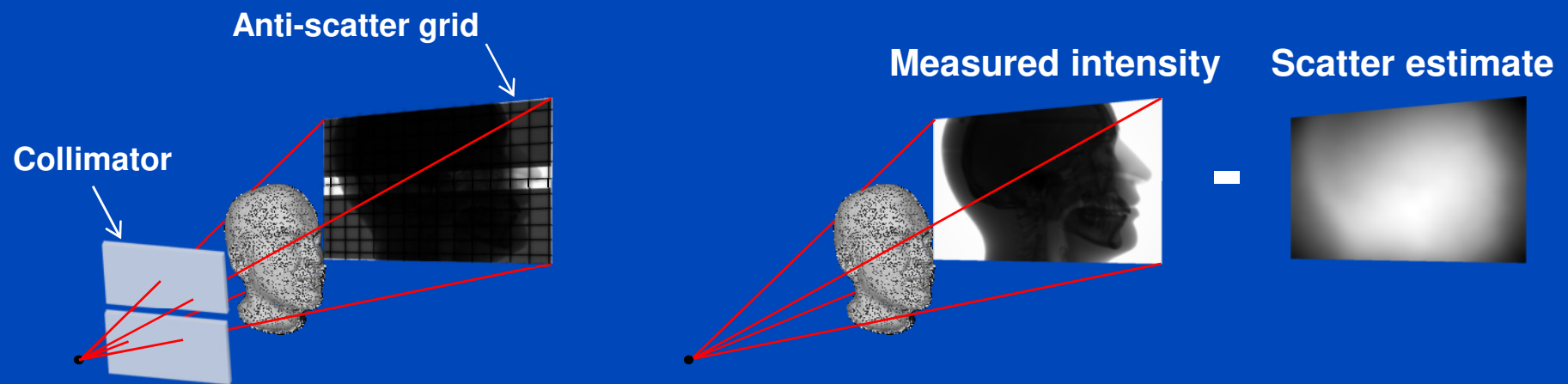
# Scatter Correction

## Scatter suppression

- Anti-scatter grids
- Collimators
- ...

## Scatter estimation

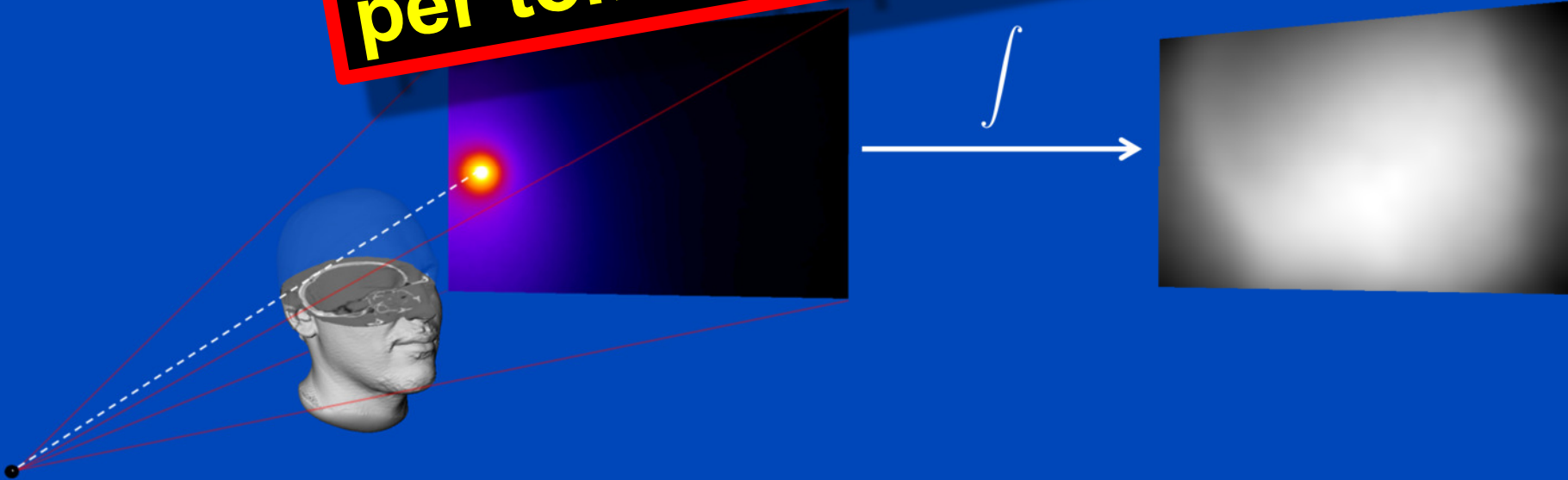
- Monte Carlo simulation
- Kernel-based approaches
- Boltzmann transport
- Primary modulation
- Beam blockers
- ...



# Monte Carlo Scatter Estimation

- Simulation of photon trajectories according to physical interaction probabilities.
- Simulating a large number of trajectories well approximates the complete scatter distribution

**1 to 10 hours  
per tomographic data set**



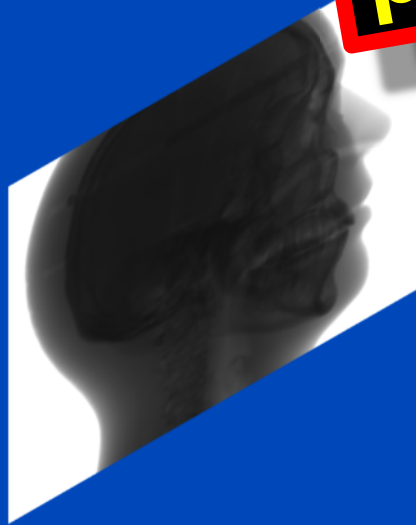
# Deep Scatter Estimation (DSE)

Train a deep convolutional neural network (CNN) to estimate scatter using a function of the input and projection data as input.

**0.1 to 1 minute per tomographic data set**

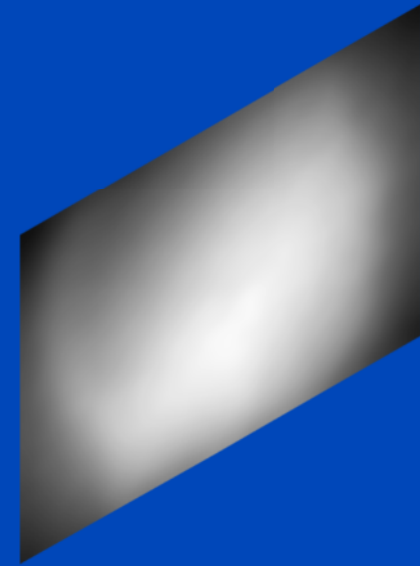
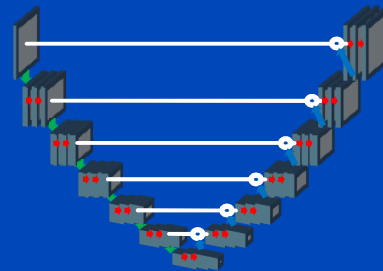
Input:  $T(p)$

Scatter estimate



~~Monte Carlo~~

Convolutional neural network



# Convolutional Neural Networks

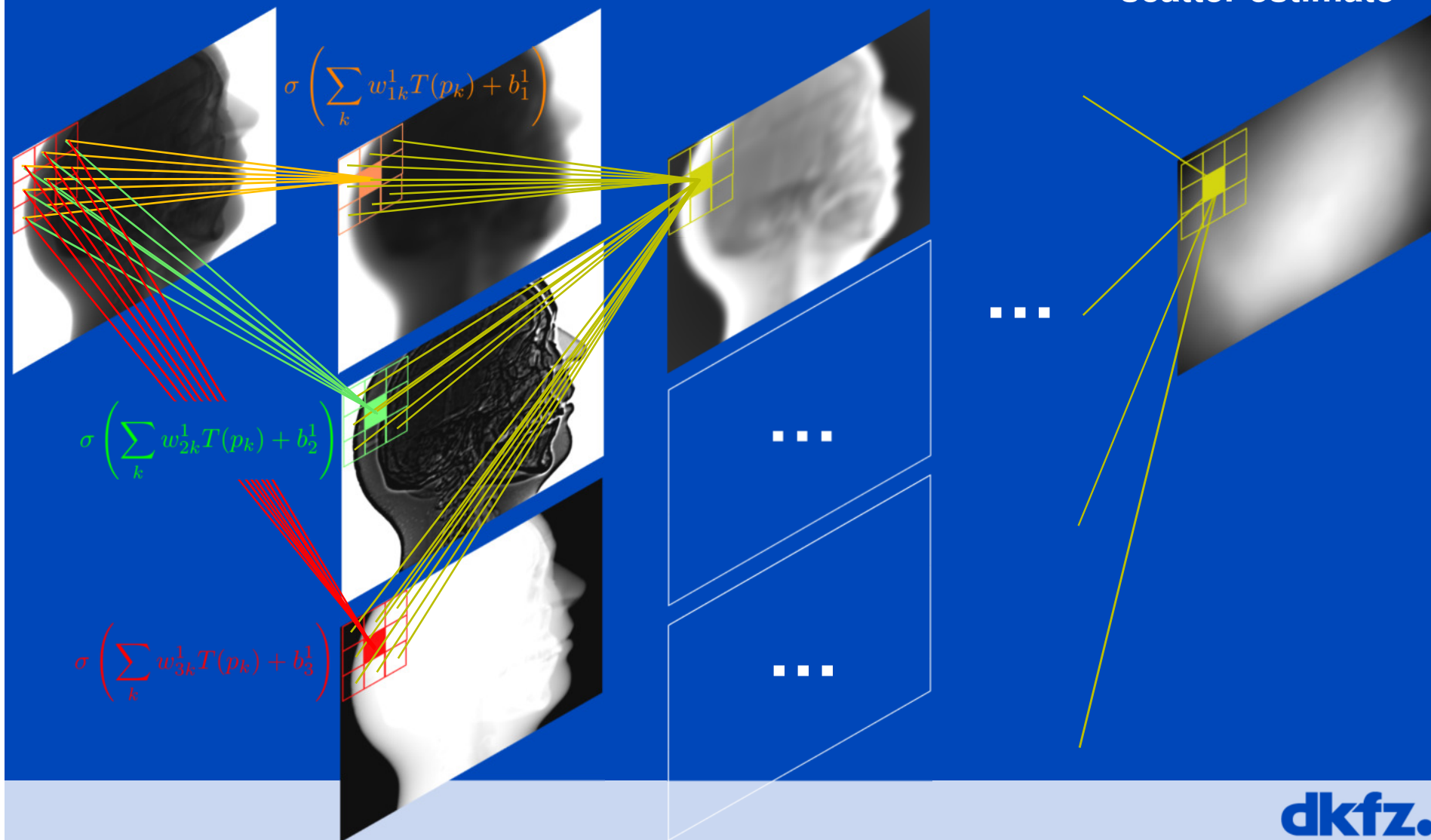
## Basic principle

Input:  $T(p)$

1<sup>st</sup> conv. layer

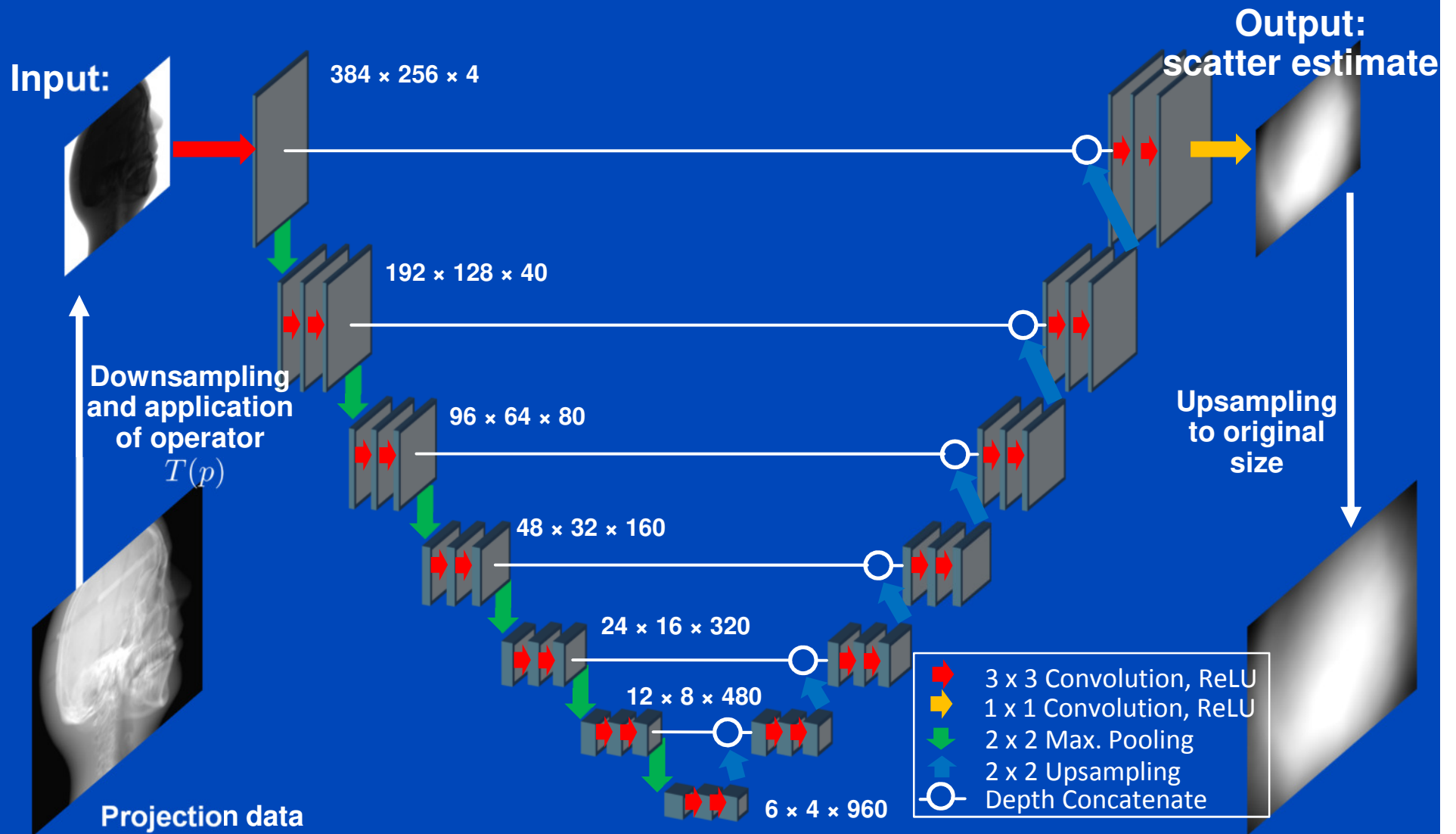
2<sup>nd</sup> conv. layer

Output:  
scatter estimate



# Deep Scatter Estimation

## Network architecture & scatter estimation framework



# Training the DSE Network

CBCT Setup

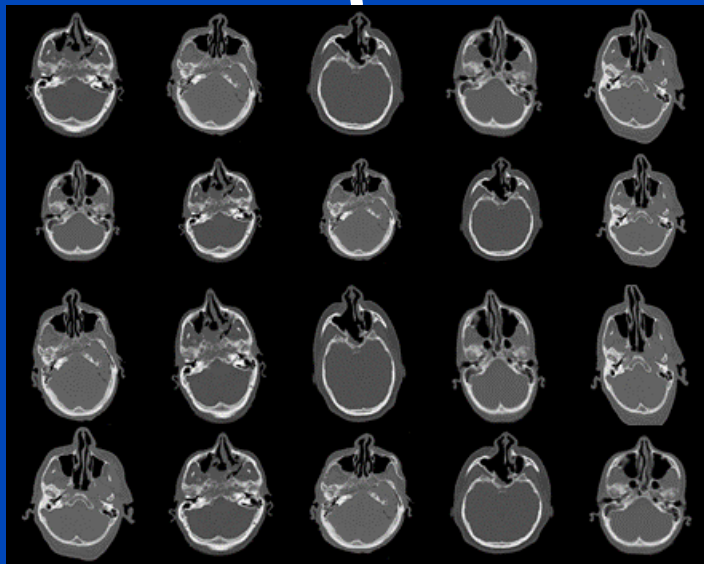
Primary intensity

MC scatter simulation

Poisson noise

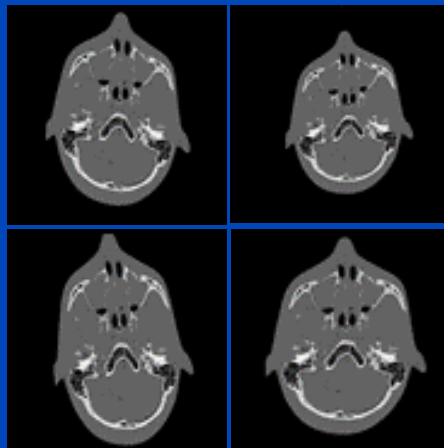
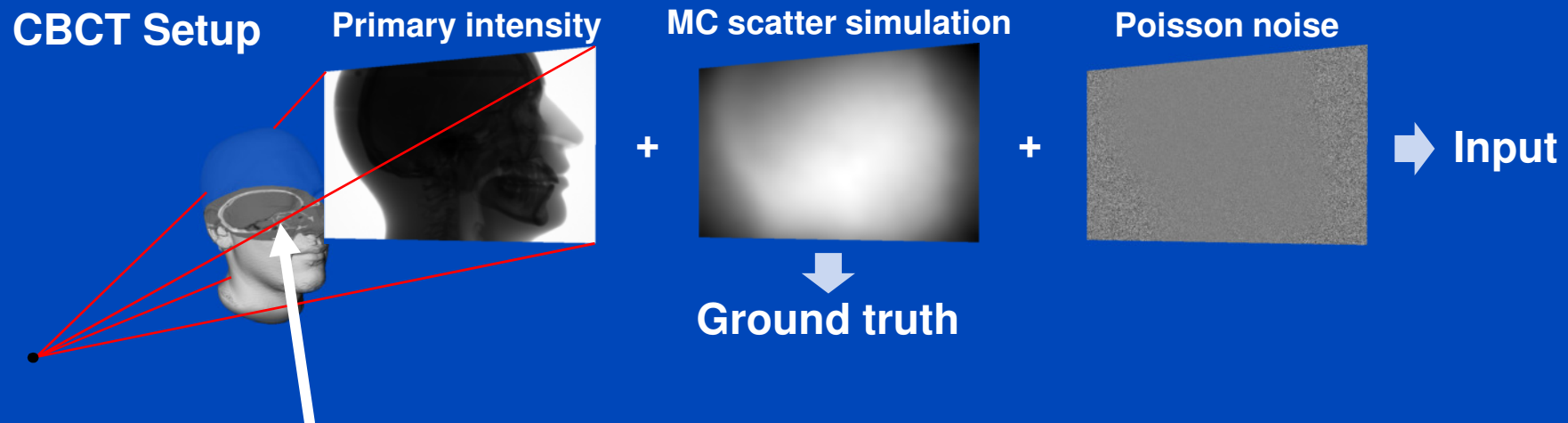
Input

Desired output



- Simulation of 12000 flat detector projection using data of different heads.
- Simulate different tube voltages.
- Splitting into 80% training and 20% validation data.
- Optimize weights of the CNN to reproduce the Monte Carlo scatter estimates:
$$(w, b) = \arg \min_{w, b} \|DSE_{w, b}(T(p)) - I_{MC}\|_2^2$$
- Training on a GeForce GTX 1080 for 80 epochs.

# Testing of the DSE Network for Simulated Data (at 120 kV)

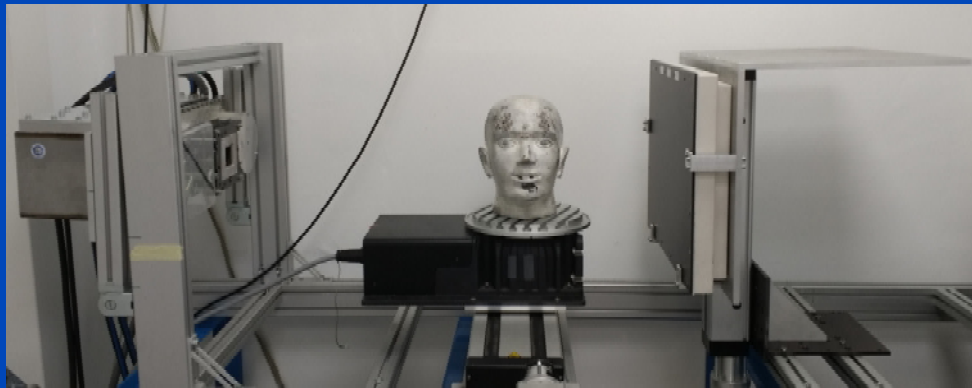


- Application of the DSE network to predict scatter for simulated data of a head (different from training data).



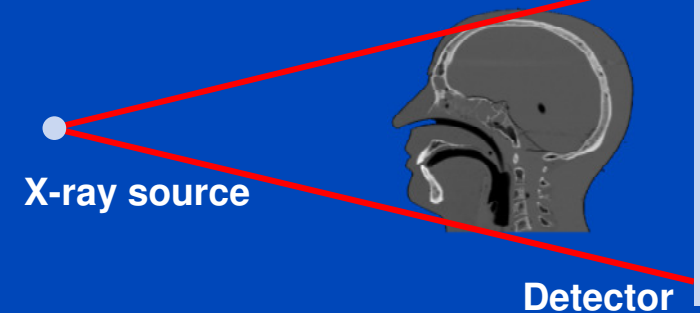
# Testing of the DSE Network for Measured Data (120 kV)

DKFZ table-top CT

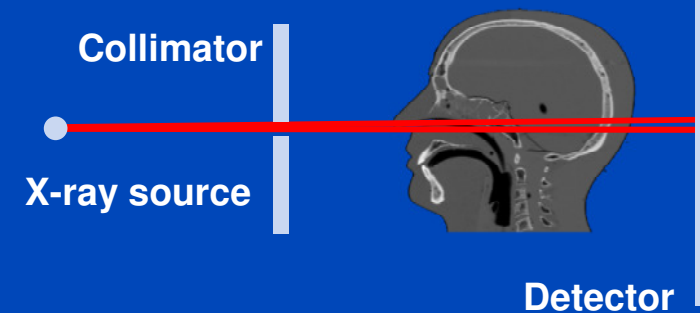


- Measurement of a head phantom at our in-house table-top CT.
- Slit scan measurement serves as ground truth.

Measurement to be corrected

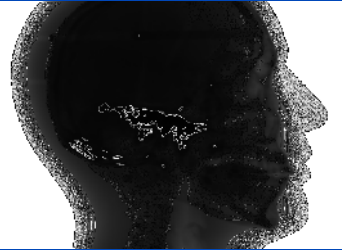


Ground truth: slit scan

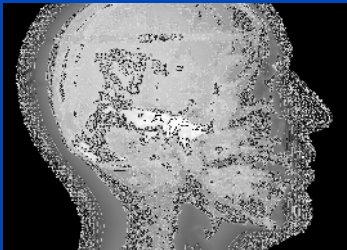


# Performance for Different Inputs

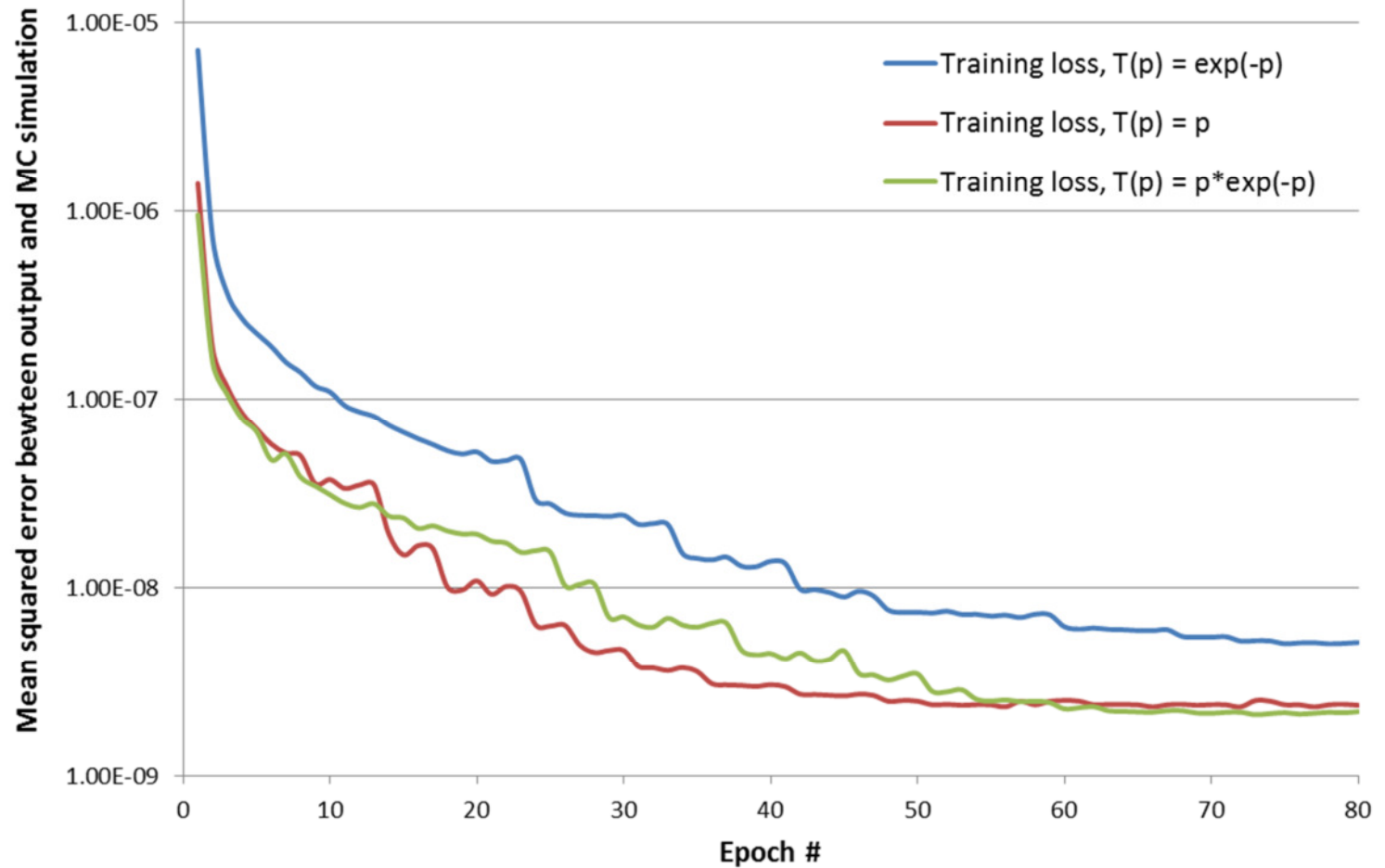
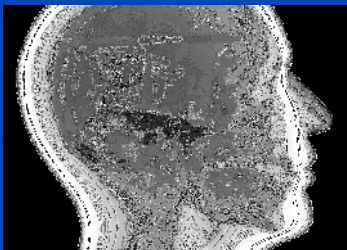
$$T(p) = e^{-p}$$



$$T(p) = p$$

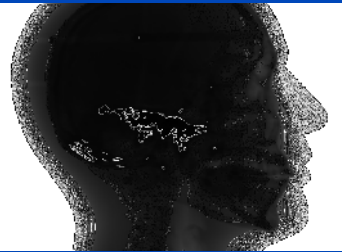


$$T(p) = p \cdot e^{-p}$$

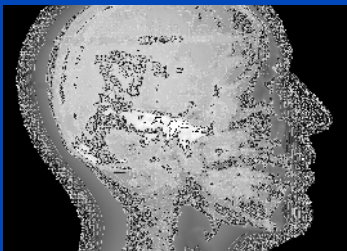


# Performance for Different Inputs

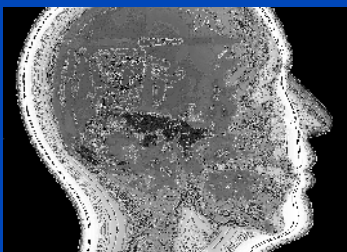
$$T(p) = e^{-p}$$



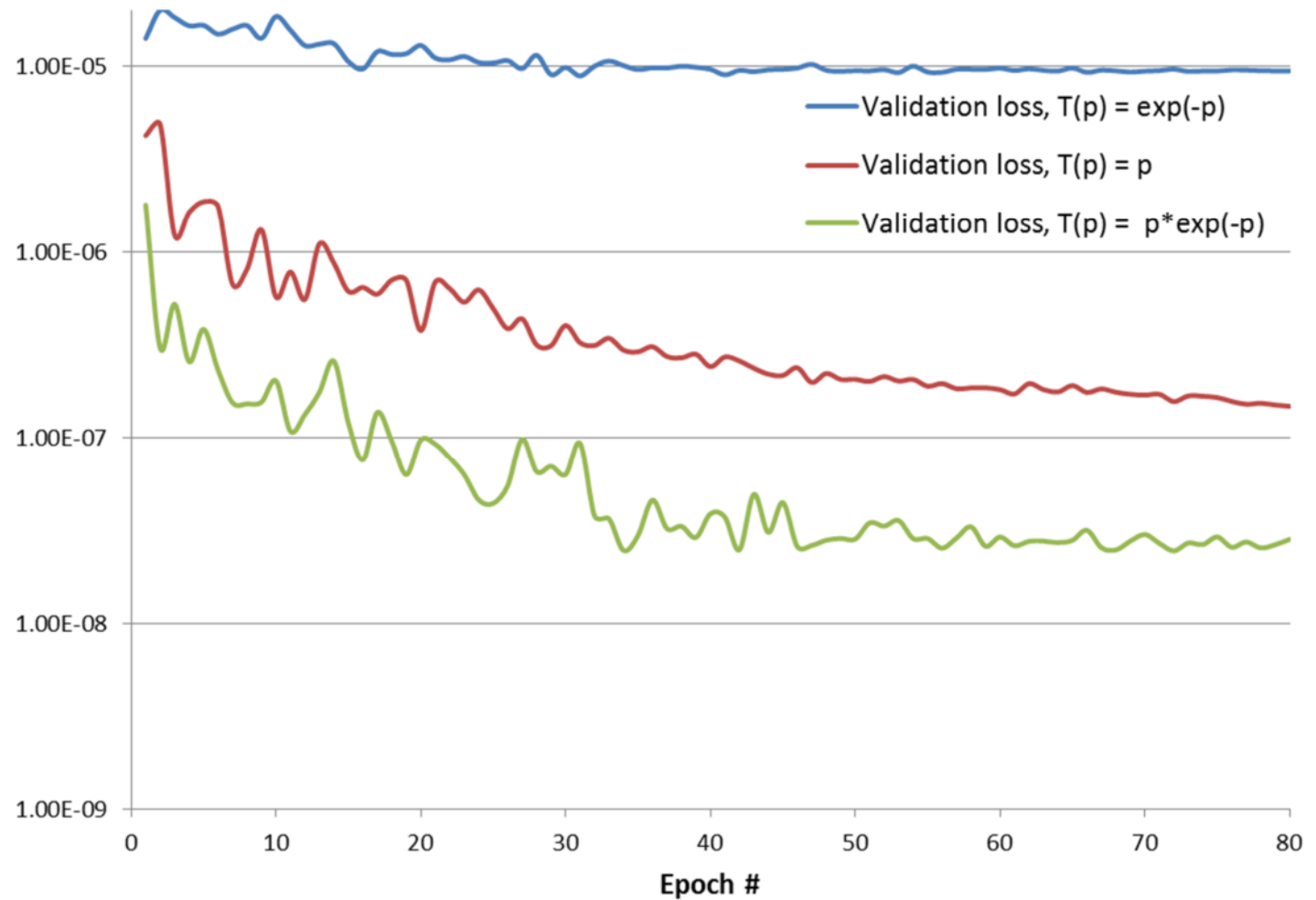
$$T(p) = p$$



$$T(p) = p \cdot e^{-p}$$



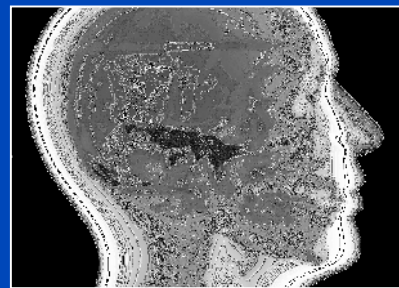
Mean squared error bewteen output and MC simulation



# Ref 1: Kernel-Based Scatter Estimation

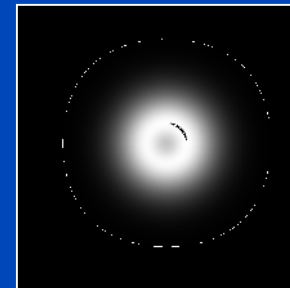
- Kernel-based scatter estimation<sup>1</sup>:
  - Estimation of scatter by a convolution of the scatter source term  $T(p)$  with a scatter propagation kernel  $G(u, c)$ :

$$I_{s, \text{est}}(\mathbf{u}) = \underbrace{\left( c_0 \cdot p(\mathbf{u}) \cdot e^{-p(\mathbf{u})} \right)}_{T(p)(\mathbf{u})} * \underbrace{\left( \sum_{\pm} e^{-c_1(\mathbf{u}\hat{\mathbf{e}}_1 \pm c_2)^2} \cdot \sum_{\pm} e^{-c_3(\mathbf{u}\hat{\mathbf{e}}_2 \pm c_4)^2} \right)}_{G(\mathbf{u}, \mathbf{c})}$$



$T(p)(\mathbf{u})$

Open parameters:  
 $c_0$



$G(\mathbf{u}, \mathbf{c})$

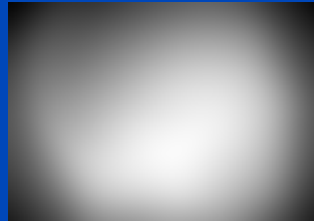
Open parameters:  
 $c_1, c_2, c_3, c_4$

$$\{c_i\} = \operatorname{argmin} \sum_n \sum_{\mathbf{u}} \|I_{s, \text{est}}(n, \mathbf{u}, \{c_i\}) - I_s(n, \mathbf{u})\|_2^2,$$

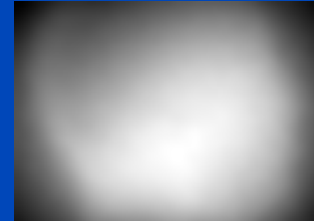
Samples of the training data set

Detector coordinate

Scatter estimate



MC scatter simulation



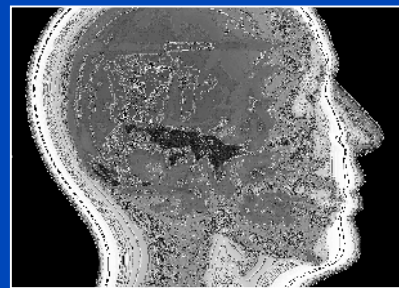
<sup>1</sup> B. Ohnesorge, T. Flohr, K. Klingensbeck-Regn: Efficient object scatter correction algorithm for third and fourth generation CT scanners. Eur. Radiol. 9, 563–569 (1999).

# Ref 2: Hybrid Scatter Estimation

- Hybrid scatter estimation<sup>2</sup> :

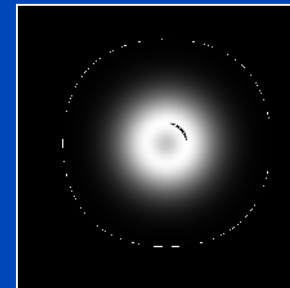
- Estimation of scatter by a convolution of the scatter source term  $T(p)$  with a scatter propagation kernel  $G(u, c)$ :

$$I_{s, \text{est}}(\mathbf{u}) = \underbrace{\left( c_0 \cdot p(\mathbf{u}) \cdot e^{-p(\mathbf{u})} \right)}_{T(p)(\mathbf{u})} * \underbrace{\left( \sum_{\pm} e^{-c_1(\mathbf{u}\hat{\mathbf{e}}_1 \pm c_2)^2} \cdot \sum_{\pm} e^{-c_3(\mathbf{u}\hat{\mathbf{e}}_2 \pm c_4)^2} \right)}_{G(\mathbf{u}, \mathbf{c})}$$



$T(p)(\mathbf{u})$

Open parameters:  
 $c_0$



$G(\mathbf{u}, \mathbf{c})$

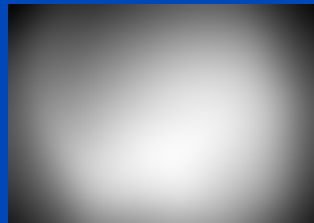
Open parameters:  
 $c_1, c_2, c_3, c_4$

$$\{c_i\}_n = \operatorname{argmin} \sum_{\mathbf{u}} \|I_{s, \text{est}}(n, \mathbf{u}, \{c_i\}) - I_s(n, \mathbf{u})\|_2^2,$$

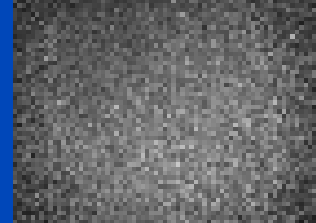
Samples of the test data set

Detector coordinate

Scatter estimate



Coarse MC simulation



# Results – Simulated Projection Data

	Primary intensity	Scatter ground truth (GT)	(Kernel – GT) / GT	(Hybrid - GT) / GT	(DSE – GT) / GT
View #1					
View #2					
View #3			Mean absolute error for all projections: 14.1 %	Mean absolute error for all projections: 7.2 %	Mean absolute error for all projections: 1.2 %
View #4					
View #5					
	C = 0.5, W = 1.0	C = 0.04, W = 0.04	C = 0 %, W = 50 %	C = 0 %, W = 50 %	C = 0 %, W = 50 %

# Results – CT Reconstructions of Simulated Data

Ground Truth

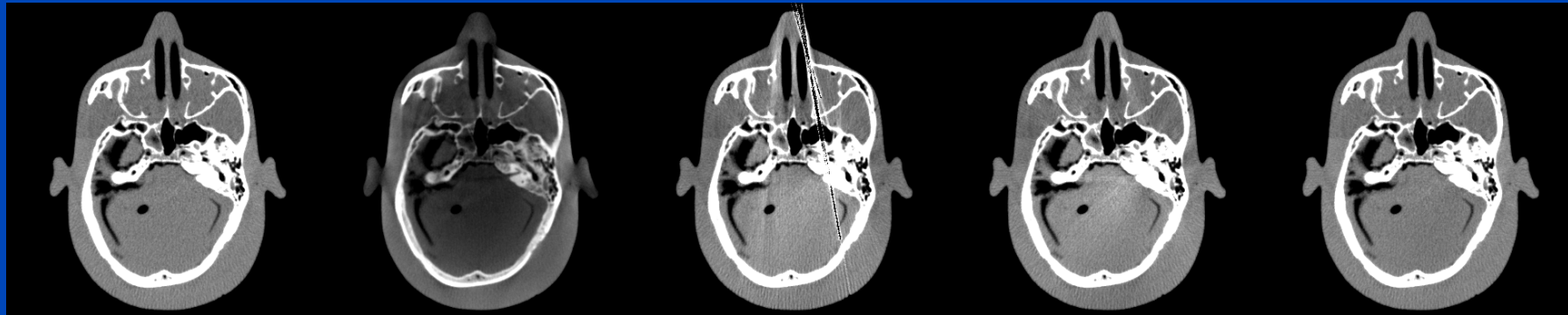
No Correction

Kernel-Based Scatter Estimation

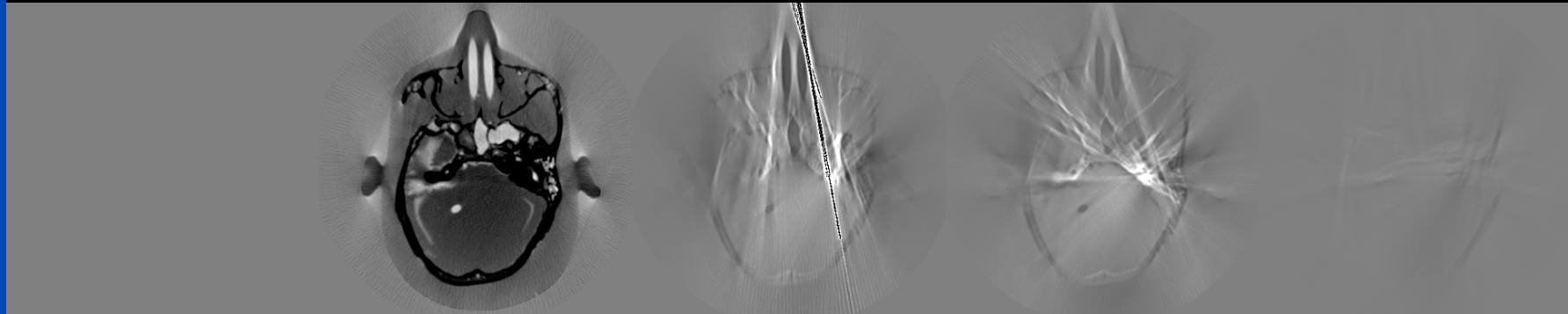
Hybrid Scatter Estimation

Deep Scatter Estimation

CT Reconstruction



Difference to ideal simulation



$C = 0$  HU,  $W = 1000$  HU

# Results – CT Reconstructions of Measured Data

Slit Scan

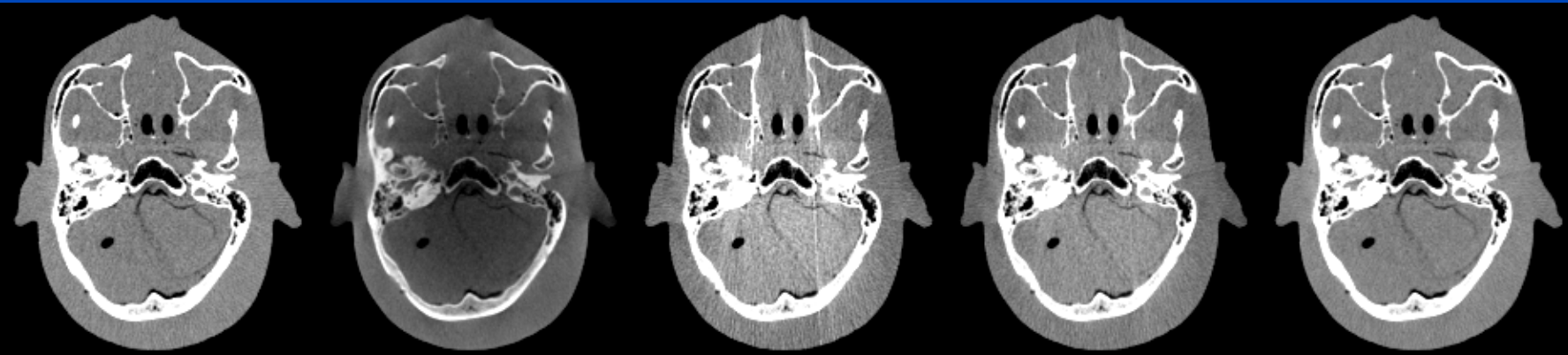
No Correction

Kernel-Based Scatter Estimation

Hybrid Scatter Estimation

Deep Scatter Estimation

CT Reconstruction



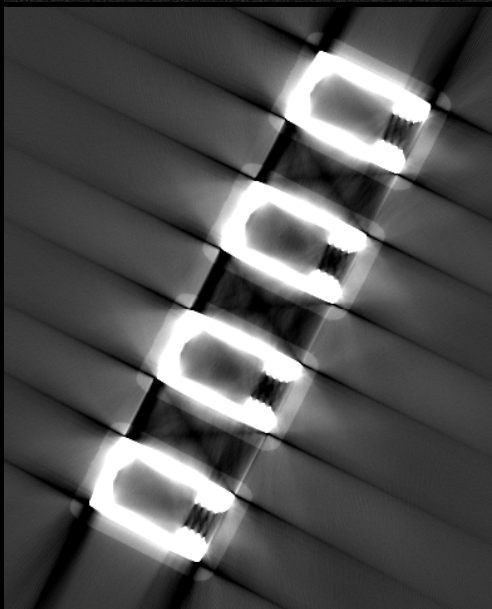
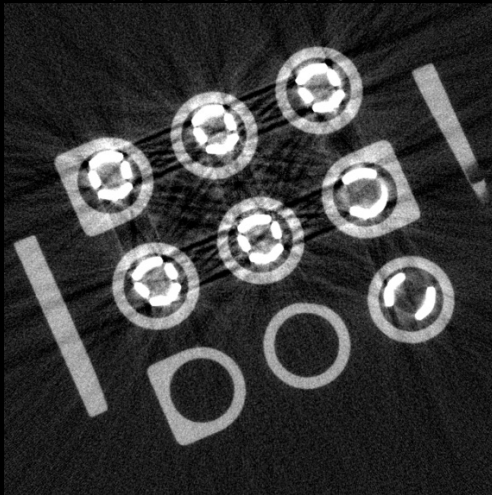
Difference to slit scan



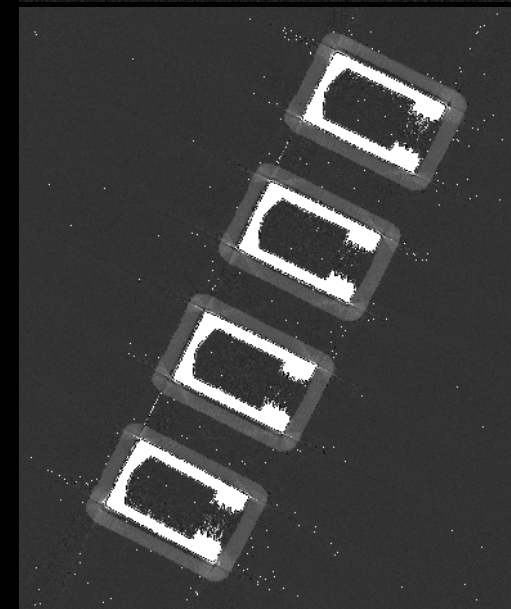
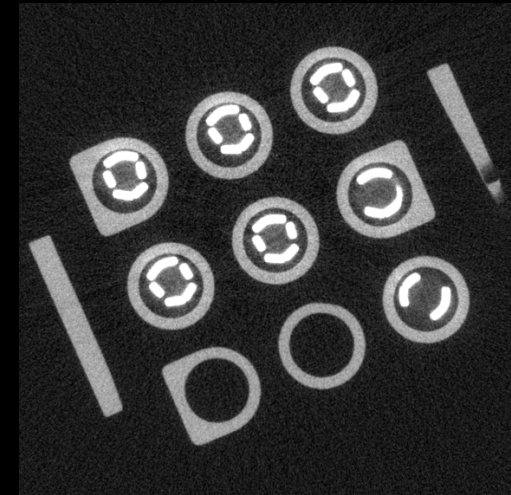
$C = 0 \text{ HU}$ ,  $W = 1000 \text{ HU}$



## Standard reconstruction



## Simulation-based artifact correction

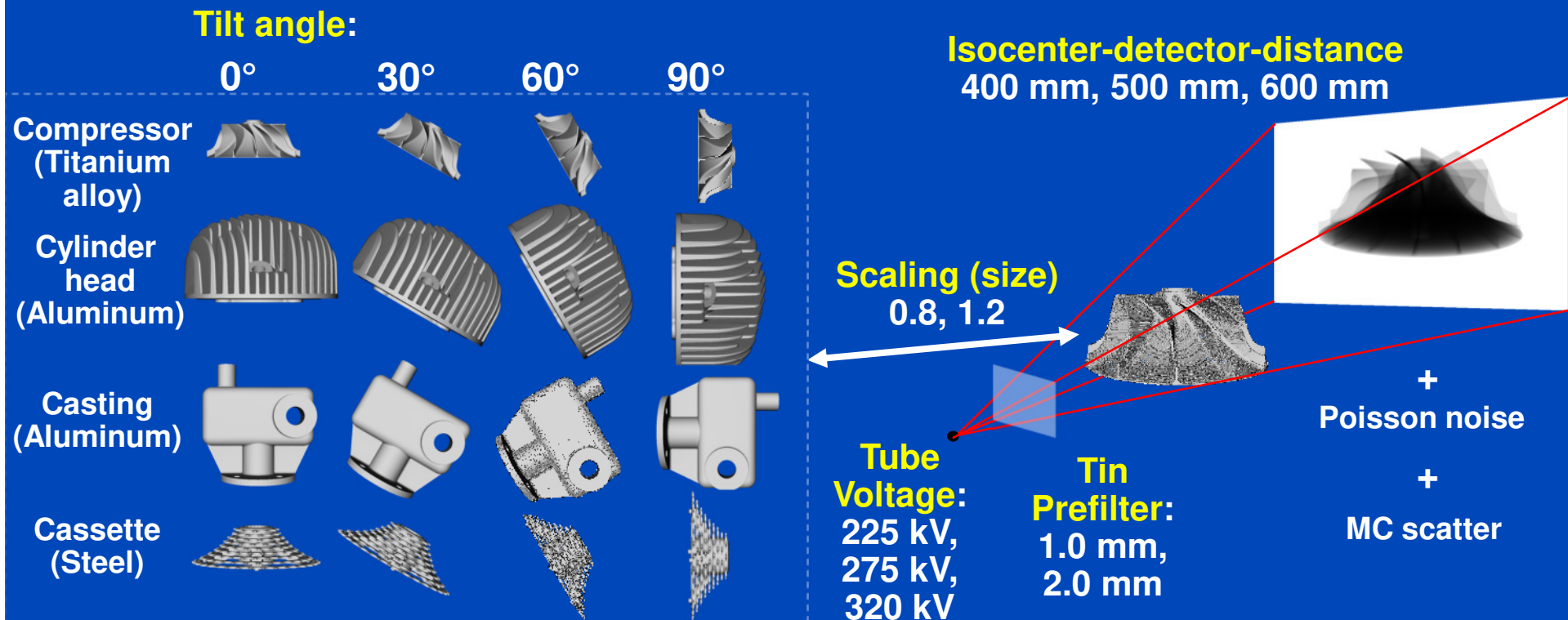


- Simulation-based removal of
- beam hardening artifacts
  - off-focal radiation artifacts
  - focal spot blurring artifacts
  - detector blurring artifacts
  - **scatter artifacts**
  - ...

Presented at Wels 2016

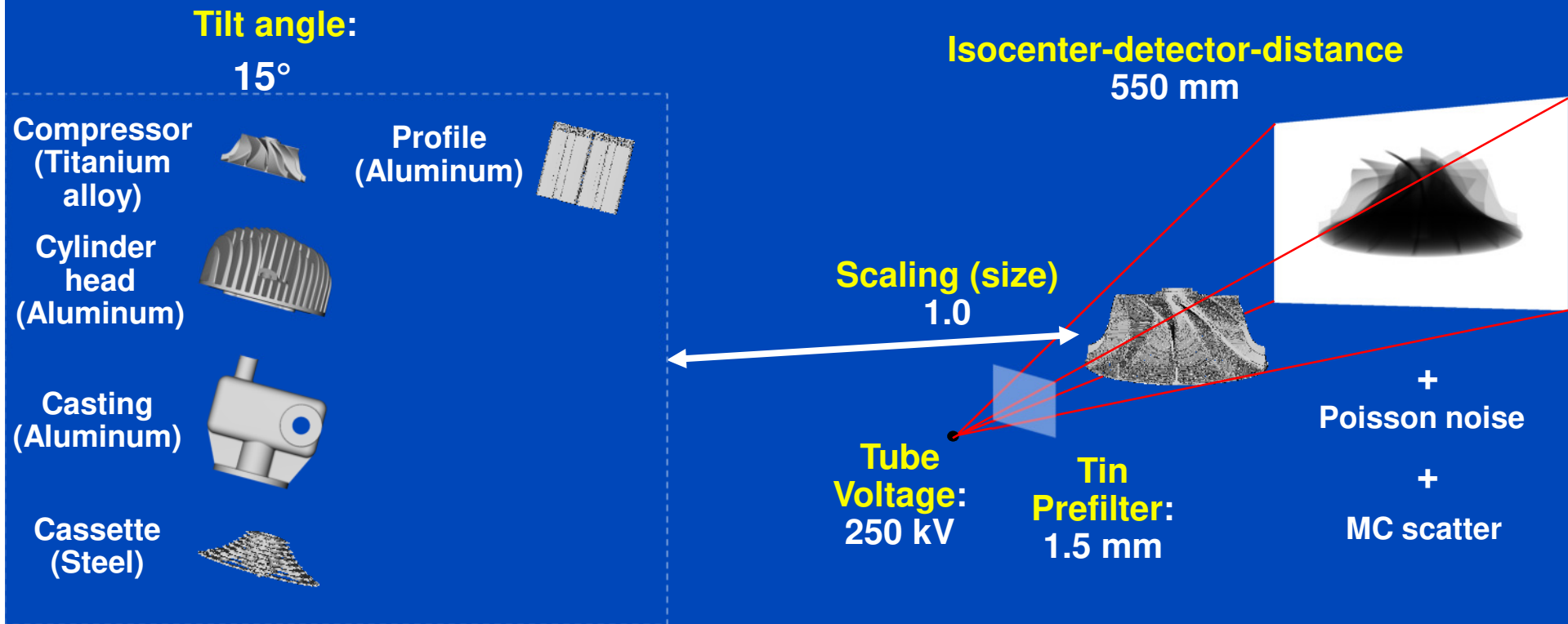
# Simulation Study: Training Data

- Simulation of 16416 projections using different objects and parameter settings to train the DSE network.
- Training on a GeForce GTX 1080 for 80 epochs using the Keras framework, an Adam optimizer and a mini-batch size of 16.



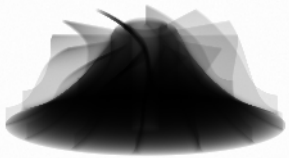
# Simulation Study: Testing Data

- Simulation of a tomography (720 projection / 360°) of five components using acquisition parameters that differ from the ones used to generate the training data set.

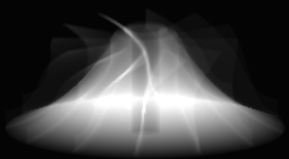


# Performance on Testing Data for Different Inputs

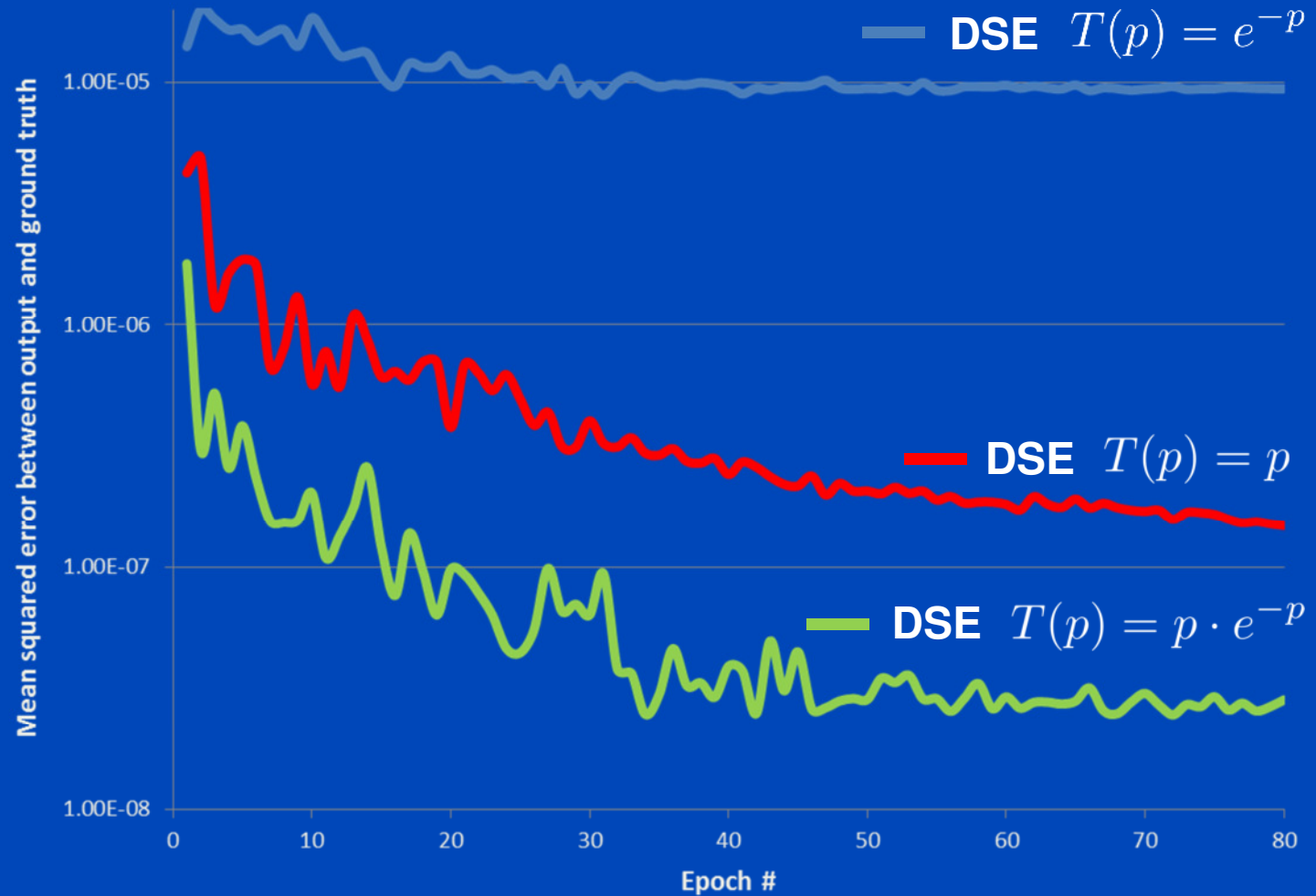
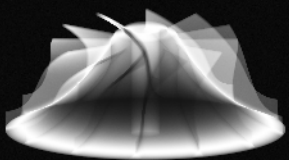
$$T(p) = e^{-p}$$



$$T(p) = p$$

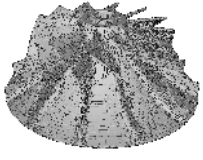
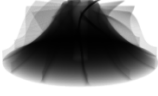





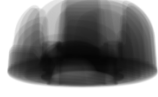

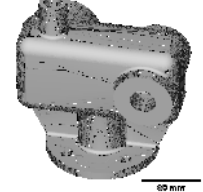

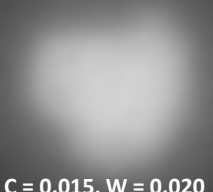
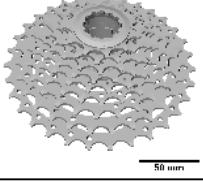
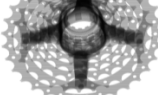
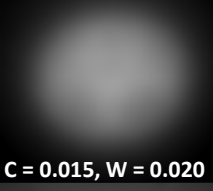
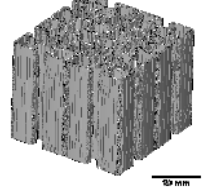

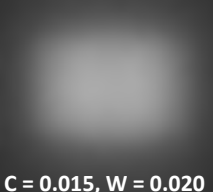
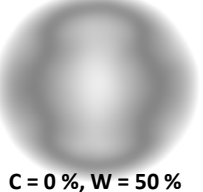
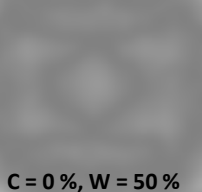
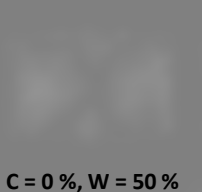


$$T(p) = p \cdot e^{-p}$$



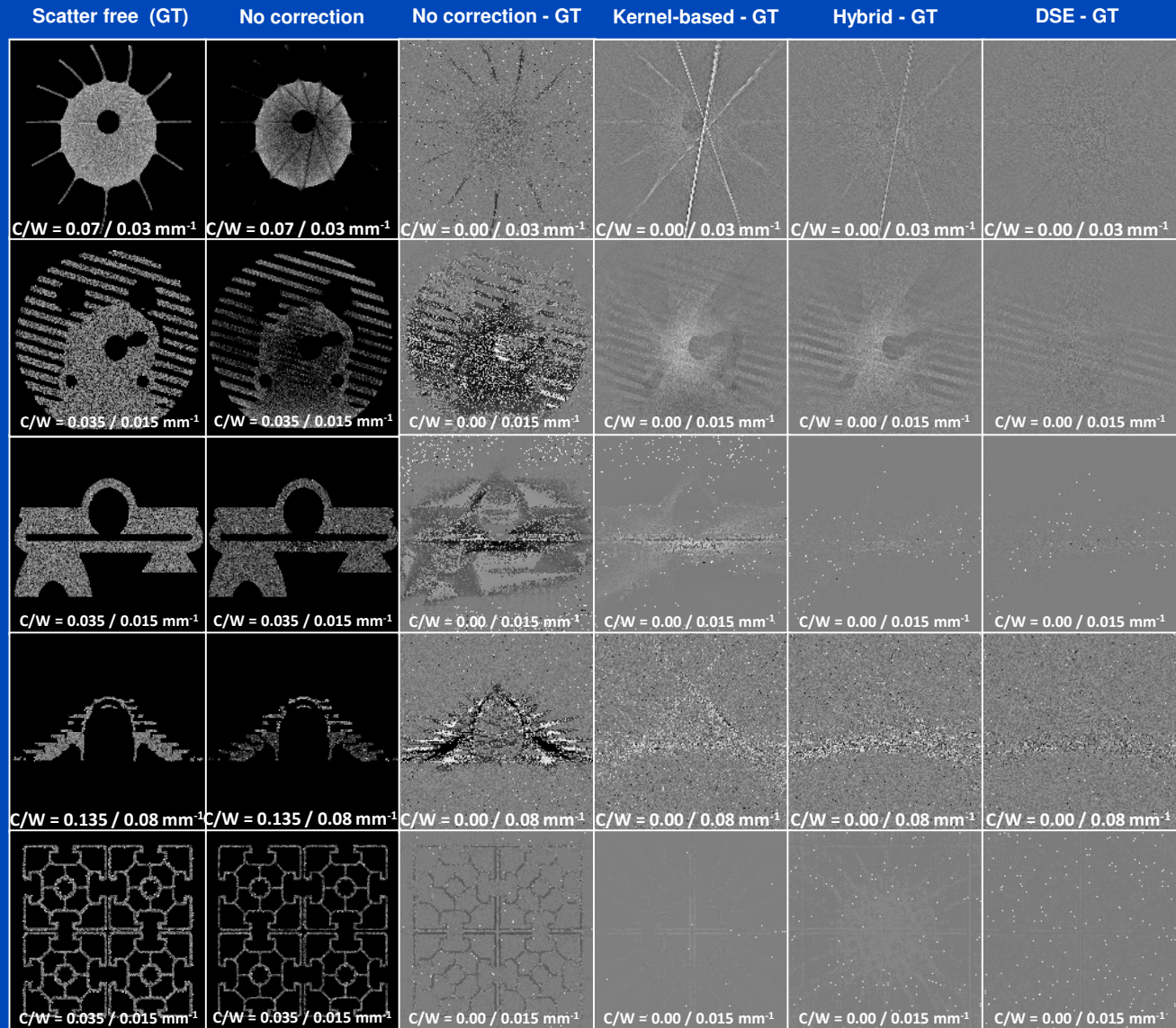
# Results

## Scatter estimates for simulated testing data

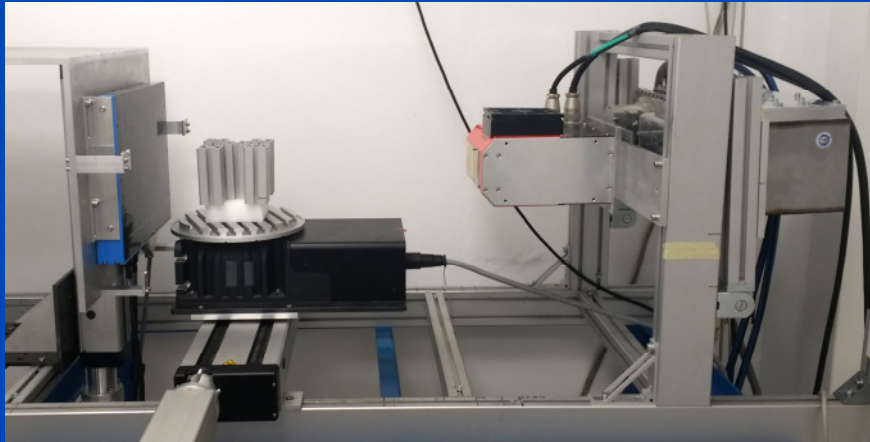
Model	Primary intensity	Scatter ground truth (GT)	Kernel - GT  / GT	Hybrid - GT  / GT	DSE - GT  / GT
	 C = 0.5, W = 1.0	 C = 0.015, W = 0.020	 C = 0 %, W = 50 %	 C = 0 %, W = 50 %	 C = 0 %, W = 50 %
	 C = 0.5, W = 1.0	 C = 0.015, W = 0.020	<p>Mean relative error for all 3600 projections: <b>13 %</b></p>	<p>Mean relative error for all 3600 projections: <b>7 %</b></p>	<p>Mean relative error for all 3600 projections: <b>1 %</b></p>
	 C = 0.5, W = 1.0	 C = 0.015, W = 0.020			
	 C = 0.5, W = 1.0	 C = 0.015, W = 0.020			
	 C = 0.5, W = 1.0	 C = 0.015, W = 0.020	 C = 0 %, W = 50 %	 C = 0 %, W = 50 %	 C = 0 %, W = 50 %

# Results

## CT reconstructions of scatter corrected testing data



# Application to Measured Data



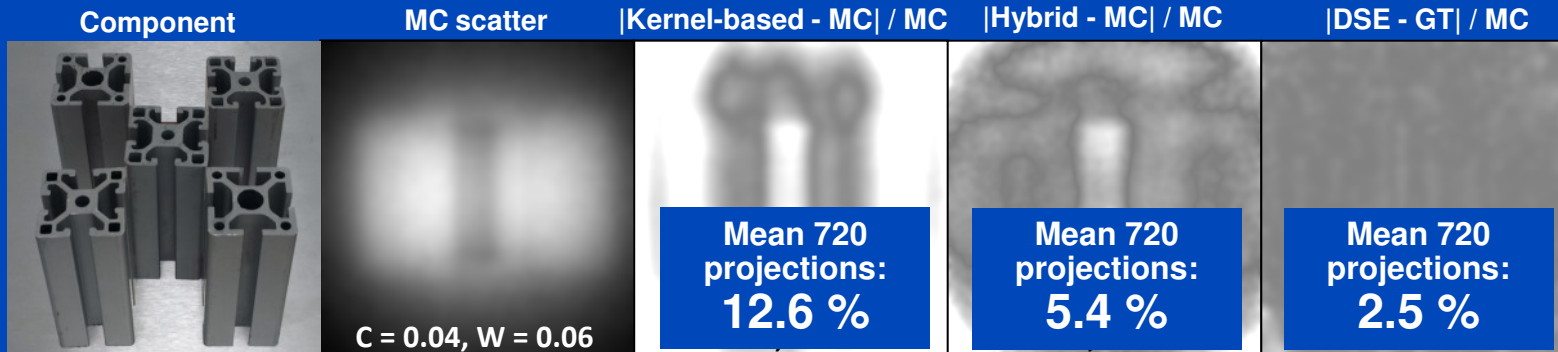
- Measurement at DKFZ table-top CT
- Tomography of aluminum profile (720 projections / 360°)
- 110 kV Hamamatsu micro-focus x-ray tube
- Varian flat detector

	Training	Testing
Components		
Detector elements	768x768	768x768
Source-detector distance	580 mm	580 mm
Source-isocenter distance	100 mm, 110 mm, 120 mm	110 mm
Tilt angle	0°, 30°, 60°, 90°	0°
Tube voltage	100 kV, 110 kV, 120 kV	110 kV
Copper prefilter	1.0 mm, 2.0 mm	2.0 mm
Scaling	1.0	-
Number of projections	8208	720

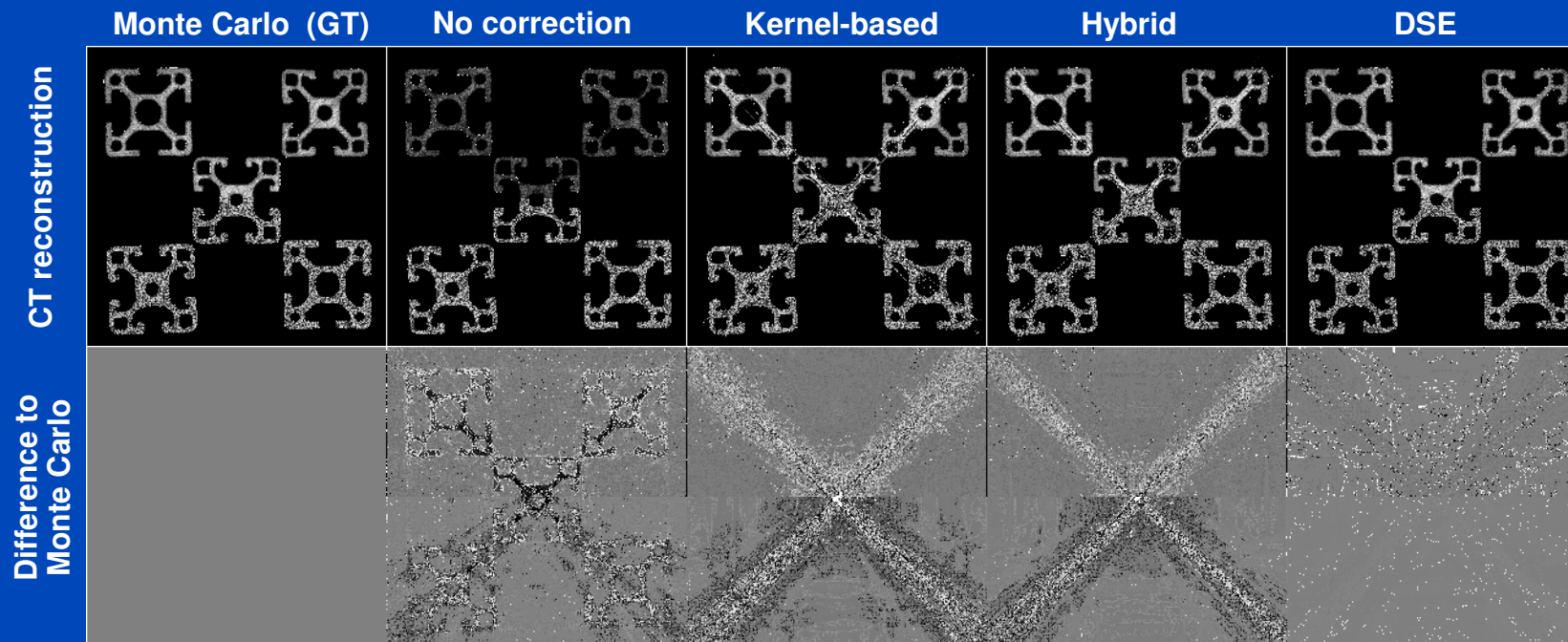
# Results

## Performance of DSE for measured data

### Projection data



### CT reconstructions





# Conclusions

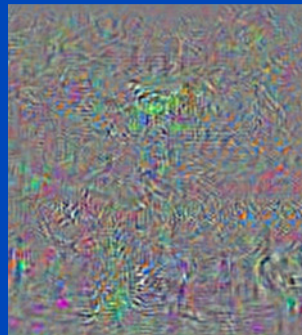
- DSE is a fast ( $\sim 20$  ms / projection) and accurate alternative to Monte Carlo simulation.
- DSE outperforms conventional kernel-based approaches in terms of accuracy.
- DSE is not restricted to reproduce only Monte Carlo scatter estimates but can be used with any other scatter estimate.

# Adversarial Example

school bus



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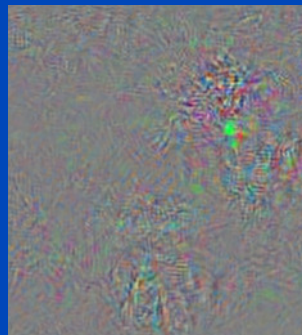


common  
ostrich

bird



+

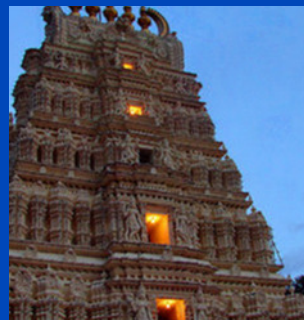


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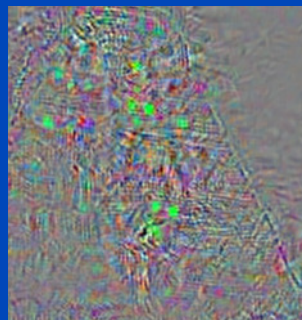


common  
ostrich

temple



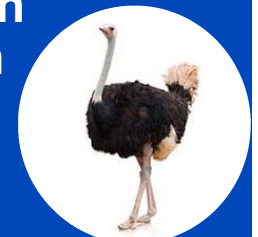
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common  
ostrich



# Thank You!

This presentation will soon be available at [www.dkfz.de/ct](http://www.dkfz.de/ct).  
Job opportunities through DKFZ's international PhD or Postdoctoral  
Fellowship programs ([marc.kachelriess@dkfz.de](mailto:marc.kachelriess@dkfz.de)).  
Parts of the reconstruction software were provided by  
RayConStruct® GmbH, Nürnberg, Germany.